

**TONI PRECKWINKLE**  
PRESIDENT, COOK COUNTY  
BOARD OF COMMISSIONERS

**N. KEITH CHAMBERS**  
DIRECTOR



**BOARD OF ETHICS MEMBERS**  
PEGGY DALEY  
DAVID GROSSMAN  
VON MATTHEWS  
JULIET SORENSEN  
THOMAS SZROMBA

**COOK COUNTY BOARD OF ETHICS**  
69 W. WASHINGTON STREET, SUITE 3040  
CHICAGO, ILLINOIS 60602  
312/603-4304 OFFICE - 312/603-9988 FAX

**OPEN SESSION MINUTES – REGULAR MEETING**  
**December 11, 2019**

**OPEN SESSION I:**

**Call to Order:** The December 11, 2019 Regular Meeting of the Cook County Board of Ethics (“Board”) was called to order at 2:09 p.m.

**Board Members Present:** Chair Peggy Daley, Juliet Sorensen, David Grossman, Von Matthews, and Tom Szromba

**Board Members Absent:** None

**Staff Members Present:** Director N. Keith Chambers, Deputy Director Gina Smith, Legal Counsel Emily Stewart, Investigator Jason Jones, Investigator Jarrie Brown and Administrative Analyst Kisha Johns

**A. Agenda Approval**

A motion to approve the agenda was made and adopted by unanimous voice vote.

**B. Statements by the General Public**

There were no comments from the general public.

**C. Approval of Open Session Minutes of the October 31, 2019 Regular Meeting**

The minutes of the Open Session of the Board’s October 31, 2019 Regular Meeting were approved by unanimous voice vote.

**D. Director’s Report**

Director N. Keith Chambers updated the Board on staff’s activities, including the Just Housing Ordinance. Director Chambers also informed the Board that next month (January 2020) he anticipates requesting that the Board open an investigation into possible violations of the County’s campaign contribution limits. He also informed the Board that BOE issued a county-wide Ethics alert on the requirements of the gift ban before Thanksgiving via email blast. Director Chambers stated that he will issue another one in January on political activity.

He also informed the Board that his budget request was approved and that he has funding to fill two investigator openings. He further stated that he’s working on non-budgetary reforms that he’d like to put into place.

Director Chambers also said that he will schedule a draft 2020 meeting schedule and requested that the Board take a look at proposed schedule and inform him of any scheduling conflicts.

**E. Update from Board Subcommittee on Amendments to the County’s Ethics Ordinance**

Board member Juliet Sorensen has been working on proposed amendments to the ordinance along with Oliver Serafini. Juliet summarized some of the initial draft proposed changes which include 1) establishing sexual harassment as an ethics code violation; 2) prohibiting officials and employees from representing individuals when such representation could result in the reduction of county revenues; and 3) increasing the amount of fines that can be imposed by the Board.

The Board then moved to enter a resolution into the record honoring Oliver G. Serafini for his work in support of the Board of Ethics.

The Board agreed to provide the President’s office additional time to review the initial draft of the Ethics Ordinance Amendments before the Board votes on them and requests public comment. The Board requested that the President’s Office respond to their initial draft by January 23, 2020.

At 3:14 p.m., the Board voted unanimously to adjourn into Executive Session to discuss matters regarding specific investigations, public litigation, and approval of minutes for prior closed sessions, pursuant to sections 2(c)(4) of the Open Meetings Act.

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At 3:37 p.m., the Board voted unanimously to reconvene into Open Session.

**OPEN SESSION II:**

**F. Approval of the Closed Session Minutes of the October 31, 2019 Regular Meeting**

The minutes of the Closed Session of the Board’s October 31, 2019 regular meeting were approved by unanimous voice vote.

**G. Voting Regarding Executive Session Items**

The Board voted unanimously to approve advisory opinion 19A03 and to open investigation 19MUI03.

**H. Adjourn**

The Board voted unanimously to adjourn at 3:38 p.m.

*Minutes submitted by Board Staff*

ARTICLE VII. - ETHICS

DIVISION 1. - GENERALLY

Secs. 2-541—2-559. - Reserved.

DIVISION 2. - CODE OF ETHICAL CONDUCT

Subdivision I. - In General

Sec. 2-560. - Short title.

This division shall be known and may be cited as the "Cook County Ethics Ordinance."

Sec. 2-561. - Purpose.

(a) The purpose of this division is to establish the code of conduct for every Official, Board or Commission Appointee, and Employee of the County. All Officials, Board or Commission Appointees, and Employees of the County shall:

- (1) Owe a fiduciary duty to the County;
- (2) Treat members of the public with respect and be responsive and forthcoming in meeting their requests for information;
- (3) Protect the County's interests when contracting for outside services;
- (4) Put forth honest effort in the performance of their duties;
- (5) Conduct business on behalf of the County in a financially responsible manner;
- (6) Protect and conserve County property and assets, and use County property and assets for authorized purposes or activities;
- (7) Adhere to all applicable laws and regulations; and
- (8) Report any violations, suspected violations, or other misconduct under this article to the Board of Ethics or the Office of the Independent Inspector General of Cook County in a timely manner.

(b) This division is not intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the County, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

(Ord. No. 16-5326, 10-5-2016; Ord. No. 16-5767, 12-14-2016.)

Sec. 2-562. - Definitions.

The following words, terms and phrases, when used in this division shall have the meanings ascribed to them in this Section, except where the context clearly indicates a different meaning:

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*Absolutely necessary* means that another means of identification, such as employee identification number, cannot be substituted for the social security number without frustrating the purpose of the request.

*Agency* means the County Board, any committee or other subdivision thereof, any County department or other administrative unit, commission, board or other division of the government of the County.

*Board or Commission Appointee* means all individuals appointed by the President to any Boards or Commissions created by State Statute or County Ordinance that require the approval, confirmation or advice and consent of the County Board.

*Board or Commission* means any Board or Commission created under County Ordinance or State Statute whose members are appointed by the President subject to the approval, confirmation or advice and consent of the County Board.

*Board of Ethics* means the County Board of Ethics, as defined in Section 2-591.

*Campaign for elective office* means any activity in furtherance of an effort to influence the selection, nomination, election, or appointment of any individual to any Federal, State, or local public office or office in a political organization, or the selection, nomination, or election of Presidential or Vice-Presidential electors, but does not include activities:

- (1) Relating to the support or opposition of any executive, legislative, or administrative action;
- (2) Relating to collective bargaining; or
- (3) That are otherwise in furtherance of the person's official duties.

*Candidate* means any person who has filed nominating papers or petitions for nomination or election to an elected office, or who has been appointed to fill a vacancy in nomination, and who remains eligible for placement on the ballot at either a general primary election or general election or who has raised or expended money in pursuit of elected office.

*Collective bargaining* has the same meaning as that term is defined in Section 3 of the Illinois Public Labor Relations Act (5 ILCS 5/1-3).

*Compensated time* means any time worked by or credited to an employee that counts toward any minimum work time requirement imposed as a condition of employment but does not include any designated holidays or any period when the employee is on a leave of absence.

*Compensation* means money, thing of value or other pecuniary benefit received or to be received in return for, or as reimbursement for, services rendered or to be rendered.

*Compensatory time off* means authorized time off earned by or awarded to an employee to compensate in whole or in part for time worked in excess of the minimum work time required of that employee as a condition of employment.

*Confidential Information* means any information that may be exempt from disclosure under the Illinois Freedom of Information Act, codified at 5 ILCS 140/1, et seq. or required to be held confidential by agreement.

*Contract management authority* means personal involvement in or direct supervisory responsibility for the formation or execution of a County contract, including without limitation the preparation of specifications, evaluation of bids or proposals, negotiation of contract terms or supervision of performance.

*Contribution* has the same meaning as that term is defined in Section 9-1.4 of the Election Code (10 ILCS 5/9-1.4).

*County* means the County of Cook, Illinois and all government agencies of the County.

*Done Business* or *Doing Business* means any one or any combination of sales, purchases, leases or contracts to, from or with the County or any County agency in excess of \$10,000.00 during the previous four years.

*Economic interest* means any interest valued or capable of valuation in monetary terms; provided that economic interest is subject to the same exclusion as financial interest.

*Employee* means an individual employed by the County whether part-time or full-time or by a contract of employment. The term "employee" shall include individuals employed by County Officers as referenced in Article VII, Section 4 of the Illinois Constitution. The term "employee" shall not include judges of election.

*Financial interest means any of the following:*

*Familial relationship* shall mean a natural person who is a Relative of an Employee, Official, or Board or Commission Appointee.

~~*Financial interest means any of the following:*~~

- (1) Any interest as a result of which the owner currently received or is entitled to receive in the future more than \$1,200.00 per year.
- (2) Any interest with a cost or present value of \$5,000.00 or more.
- (3) Any interest representing more than ten percent of a corporation, partnership, sole proprietorship, firm, enterprise, franchise, organization, holding company, joint stock company, receivership, trust, or any legal entity organized for profit provided, however, the term "financial interest" shall not include any of the following:
  - (a) The authorized compensation paid to an official or employee for his or her office or employment, or the authorized compensation paid to a board or commission appointee for his or her office or employment;
  - (b) Any economic benefit provided equally to all residents of the County;
  - (c) A time or demand deposit in a financial institution;
  - (d) An endowment or insurance policy or annuity contract purchased from an insurance company;
  - (e) Any accrued pension rights in the County fund; or
  - (f) With respect to a mutual fund, the individual securities of other instruments owned by the mutual fund.

*Gift* means any gratuity, discount, entertainment, hospitality, loan, forbearance, or other tangible or intangible item having monetary value including, but not limited to, cash, food and drink, and honoraria for speaking engagements related to or attributable to government employment or the official position of an official, board or commission appointee or employee that is given without fair-market consideration. Gifts shall exclude:

- (1) Anything for which the official, board or commission appointee or employee or his or her spouse, domestic partner, civil union partner or immediate family member living with him or her pays the market value;
- (2) Any opportunities, benefits, or services that are available to an official, board or commission appointee or employee or his or her spouse, domestic partner, civil union partner or immediate family member living with him or her on the same terms and conditions as the general public; and
- (3) Any contribution that is lawfully made and reported under the Election Code or under this article associated with political fundraising for a political organization, political committee, or candidate for elected office.

*Immediate Family Member* means an individual's spouse, domestic partner, civil union partner, parent, step-parent, child, step-child, sibling, step-sibling, dependent, or any family member living in the same household as such individual.

*Leave of absence* means any period during which an employee does not receive compensation for employment, service credit towards pension benefits, and health insurance benefits paid for by the employer.

*Legislative action* means the introduction, sponsorship, consideration, debate, amendment, passage, defeat, approval, veto or other official action or nonaction on any ordinance, resolution, motion, order, appointment, application or other matter pending or proposed in the County Board or any committee or subcommittee thereof.

*Lobby or Lobbying* means to, for Compensation and on behalf of another Person, attempt to influence an Employee, Official, or Board or Commission Appointee with respect to any County matter, including, but not limited to:

- (1) A bond inducement ordinance;
- (2) A zoning matter;
- (3) A concession agreement;
- (4) The creation of a tax increment financing district;
- (5) The establishment of a Class 6(b), Class 7(a), Class 7(b), Class 9, Class C, Class L, Class S and/or any Cook County property tax classification established under Section 74-63 of the Cook County Code;
- (6) The introduction, passage or other action to be taken on an ordinance, resolution, motion, order, appointment or other matter before the Board;
- (7) The preparation of contract specifications;

- (8) The solicitation, award or administration of a contract;
- (9) The award or administration of a grant, loan, or other agreement involving the disbursement of public monies; or
- (10) Any other determination made by a County Official, Appointee or Employee with respect to the procurement of goods, services or construction.

Provided, however, that solely submitting an application for a county permit or license or responding to a county request for proposals or qualifications is not an attempt to influence a County Official, Appointee or Employee with respect to a County Matter.

*Lobbyist* means any Person who engages in Lobbying as defined in Section 2-562.

*Official* means any individual elected or appointed to County office, regardless of whether the individual is compensated.

*Person* means any individual, entity, corporation, partnership, firm, association, organization, unincorporated organization, company, recipient of County funds, union, trust, trustee, legal representative, legal entity, estate, as well as any corporate affiliate of any of the foregoing, and whether or not operated for profit.

*Political activity* means any activity in support of or in connection with any campaign for elective office or any political organization or political committee, but does not include activities relating to the support or opposition of any executive, legislative or administrative action; if in furtherance of the person's official duties, relating to bargaining; or that are otherwise in furtherance of the person's official duties.

*Political committee* incorporates the definition of that term as it appears in the Illinois Election Code, 10 ILCS 5/9-1.8(a).

*Political organization* means a party, committee, association, fund, or other organization (whether or not incorporated) that is required to file a statement of organization with the State Board of Elections or a county clerk under Section 9.3 of the Election Code (10 ILCS 5/9-3), but only with regard to those activities that require filing with the State Board of Elections or a county clerk.

*Prohibited political activity* means:

- (1) Preparing for, organizing, or participating in any political meeting, political rally, political demonstration, or other political event.
- (2) Soliciting contributions, including, but not limited to, the purchase of, selling, distributing, or receiving payment for tickets for any political fund-raiser, political meeting, or other political event.
- (3) Soliciting, planning the solicitation of, or preparing any document or report regarding anything of value intended as a campaign contribution.

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- (4) Planning, conducting, or participating in a public opinion poll in connection with a campaign for elective office or on behalf of a political organization or political committee for political purposes or for or against any referendum question.
- (5) Surveying or gathering information from potential or actual voters in an election to determine probable vote outcome in connection with a campaign for elective office or on behalf of a political organization or political committee for political purposes or for or against any referendum question.
- (6) Assisting at the polls on behalf of any political organization, political committee or candidate for elective office or for or against any referendum question.
- (7) Soliciting votes on behalf of a candidate for elective office or a political organization or political committee or for or against any referendum questions or helping in an effort to get voters to the polls.
- (8) Initiating for circulation, preparing, circulating, reviewing, or filing any petition on behalf of a candidate for elective office or for or against any referendum question.
- (9) Making contributions on behalf of any candidate for elective office in that capacity or in connection with a campaign for elective office.
- (10) Preparing or reviewing responses to candidate questionnaires in connection with a campaign for elective office or on behalf of a political organization or political committee for political purposes.
- (11) Distributing, preparing for distribution, or mailing campaign literature, campaign signs, or other campaign material on behalf of any candidate for elective office or for or against any referendum question.
- (12) Campaigning for any elective office or for or against any referendum question.
- (13) Managing or working on a campaign for elective office or for or against any referendum question.
- (14) Serving as a delegate, alternate, or proxy to a political party convention.
- (15) Participating in any recount or challenge to the outcome of any election.

*Prohibited source* means any person or entity who:

- (1) Is seeking official action:
  - (a) By the official, board or commission appointee; or
  - (b) In the case of an employee, by the employee or by the official, County agency, board or commission or other employee directing the employee.
- (2) Does business or seeks to do business:
  - (a) With the official, board or commission appointee; or
  - (b) In the case of an employee, with the employee or with the official, County agency, board or commission or other employee directing the employee.



- (3) Conducts activities regulated:
  - (a) By the official, board or commission appointee; or
  - (b) In the case of an employee, by the official, County agency, board or commission or other employee directing the employee.
- (4) Has interests that may be substantially affected by the performance or nonperformance of the official duties of the official, board or commission appointee or employee; or
- (5) Is registered or required to be registered with the County pursuant to the Cook County Lobbyist Ordinance, except that an entity not otherwise a prohibited source does not become a prohibited source merely because a registered lobbyist is one of its members or serves on its board of directors.

*Publicly post or publicly display* means to intentionally communicate or otherwise intentionally make available to the general public.

*Relative* means an Official, Employee or Board or Commission Appointee's spouse, domestic partner, civil-union partner, parent, step-parent, child, step-child, grandparent, sibling, step-sibling, uncle, aunt, great aunt, great uncle, first cousin, nephew, niece, grandson, granddaughter, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, half-brother, or half-sister, and all of the preceding forms of relation to the Official, Employee, or Board or Commission Appointee's spouse, domestic partner, or civil union partner (if any).

*Seeking to do business* means taking action within the past six months to obtain a contract or business with the County when, if such action were successful, it would result in the person doing business with the County. This includes responding to a request for qualifications, joining a pool of pre-qualified vendors or any similar procurement vehicle. The presumption that such a person is "seeking to do business" will last for the same duration as any RFQ, pre-qualified pool or similar procurement vehicle remains valid for all qualified respondents.

*Sexual Harassment* means any unwelcome sexual advances or requests for sexual favors or conduct of a sexual nature when (i) submission to such conduct is made either explicitly or implicitly a term of condition of an individual's employment or of any governmental decision; or (ii) submission to or rejection of such conduct by an individual is used as the basis for any employment or other governmental decision affecting the individual or the individual's client or employer; or (iii) such conduct has the purpose or effect of substantially interfering with an individual's work performance or creating an intimidating, hostile or offensive working environment.

*Single candidacy* means the time period during which a candidate is seeking office with primary election and general election being separate candidacies.

*Statement* means the disclosure of economic interest form required to be filed by the Illinois Governmental Ethics Act (5 ILCS 420/4A-101 et seq.).

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(Ord. No. 93-O-29, art. 1, 8-3-1993; Ord. No. 99-O-18, art. I, 6-22-1999; Ord. No. 04-O-18, art. I, 5-18-2004; Ord. No. 11-O-76, 9-7-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-563. - Applicability.

(a) This Ordinance shall apply to all Employees, Officials, Board or Commission Appointees and employees of the County.

(b) This Article shall further apply, where applicable, to:

- (1) Relatives and Immediate Family Members of Employees, Officials, and Board or Commission Appointees;
- (2) Persons Doing Business, Seeking to Do Business, or who have Done Business with Cook County;
- (3) Prohibited Sources;
- (4) Candidates for County office; and
- (5) Persons that have been issued a subpoena pursuant to Section 2-591(g).

(c)

- (1) Employees must agree to adhere to this Ordinance prior to the commencement of their County employment, or within a reasonable time thereafter.
- (2) Officials must agree to adhere to this Ordinance within a reasonable time following their election to County office.
- (3) Board or Commission Appointees must agree to adhere to the Cook County Ethics Ordinance in order to be eligible for appointment to any Board or Commission.

(Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Secs. 2-564—2-570. - Reserved.

Subdivision II. - Code of Ethical Conduct

Sec. 2-571. - Fiduciary duty.

- (a) Officials and Employees shall at all times in the performance of their public duties owe a fiduciary duty to the County. Board or Commission Appointees appointed under County Ordinance owe a fiduciary duty to the County in the performance of their public duties and appointed board or commission appointees appointed under State Statute owe a fiduciary duty to the members of the public for which they have been appointed to serve.
- (b) The fiduciary duty owed by officials, Board or commission appointees and employees shall include, but not be limited to, the following duties:
  - (1) Avoiding the appearance of impropriety;

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- (2) Complying with laws and regulations by avoiding both the violation of any applicable law or regulation and the creation of a strong risk of a violation of any other law or regulation;
- (3) Conserving County property and assets and avoiding their wasteful use;
- (4) Conducting business on behalf of the County in a financially responsible manner;
- (5) Protecting the County's best interests when contracting for outside services; and
- (6) Reporting any violations, suspected violations, or other misconduct under this article to the Board of Ethics or the Office of the Independent Inspector General of Cook County in a timely manner.

(Ord. No. 93-O-29, § 2.1, 8-3-1993; Ord. No. 99-O-18, § 2.1, 6-22-1999; Ord. No. 04-O-18, § 2.1, 5-18-2004; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016; Ord. No. 16-5767, 12-14-2016.)

Sec. 2-572. – Conflicts of Interest.

- (a) No Employee, Official, or Board or Commission Appointee shall make, participate in making, or preside over making any County governmental decision or action, including decisions or actions on any Board or Commission Agenda Item or proposed Board or Commission Agenda Item:
  - (1) which the Employee, Official, or Board or Commission Appointee knows, has reason to know, or should know that the Employee, Official, or Board or Commission Appointee, or their Immediate Family Member has any Economic Interest in the effect of such action or decision that is distinguishable from such effect on the general public of the County;
  - (2) from which the Employee, Official, or Board or Commission Appointee knows, has reason to know, or should know that:
    - (A) the Employee, Official, or Board or Commission Appointee, or their spouse, domestic partner, civil union partner, dependent, or immediate family member living with them has derived any income or compensation during the preceding twelve (12) months; or
    - (B) the Employee, Official, or Board or Commission Appointee, or their spouse, domestic partner, civil union partner, dependent, or immediate family member living with them, reasonably expects to derive any income or compensation during the following twelve (12) months;
  - (3) which creates, obtains, acquires, transfers, sells, or disposes of any privilege, right, option, license, or similar legal entitlement on behalf of (A) the Employee, Official, or Board or Commission Appointee; (B) the Employee, Official, or Board or Commission Appointee's Immediate Family Member; or (C) any Person from whom the Employee, Official, or Board or Commission Appointee has received compensation during the

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previous twelve (12) months or expects reasonably to receive compensation during the following twelve (12) months; or

- (4) which risks creating an appearance of impropriety or impairing the Employee, Official, or Board or Commission Appointee's capacity to satisfy another fiduciary duty pursuant to Section 2-571 of this Ordinance.
- (b) Any Employee who has a conflict of interest as described by Subsection (a) of this Section shall advise his or her supervisor of the conflict or potential conflict. The immediate supervisor shall either:
  - (1) Assign the matter to another employee; or
  - (2) Refer the matter to the Board, which shall require the employee to (A) eliminate the Economic Interest giving rise to the conflict and (B) demonstrate that such Economic Interest has been eliminated before authorizing the Employee continue to participate in the matter.

The Cook County Board of Ethics is hereby authorized to promulgate rules and procedures for enforcement of this Subsection (b), including the forms of proof sufficient to establish that an Employee has eliminated an Economic Interest giving rise to a conflict of interest.

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- (c) Notwithstanding any action taken pursuant to Subsection (b) of this Section, any Employee, Official, or Board or Commission Appointee who has a conflict of interest or a potential conflict of interest pursuant to Subsection (a) of this Section shall disclose the conflict of interest in writing the nature and extent of the interest to the Cook County Board of Ethics as soon as the employee, board or commission appointee or official becomes aware of such conflict and shall not take any action or make any decisions regarding that particular matter. A Cook County Board Commissioner shall vote present on any matter in which they may have a conflict of interest and shall also notify the Cook County Board of Ethics of such interest within 24 hours of introduction of any ordinance, resolution, contract, order or other matter before the Cook County Board of Commissioners, or as soon thereafter as the Commissioner is or should be aware of such conflict of interest. The Board of Ethics shall make all disclosures available for public inspection and copying immediately upon request.

(Ord. No. 93-O-29, § 2.2, 8-3-1993; Ord. No. 99-O-18, § 2.2, 6-22-1999; Ord. No. 04-O-18, § 2.2, 5-18-2004; Ord. No. 11-O-41, 3-15-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-573. – Dual employment.

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- (a) No Employee, Official, or Board or Commission Appointee shall accept or maintain concurrent employment that is likely to:
  - (1) impair his or her ability to perform County duties and responsibilities;
  - (2) impair his or her independence of judgment in the exercise of official duties; or

(3) create an appearance of impropriety or impair ~~the~~ his or her capacity to satisfy another fiduciary duty pursuant to Section 2-571 of this Ordinance.

(b) The Ethics Director and Chief of the Bureau of Human Resources shall be authorized to promulgate rules regarding dual employment; said rules shall be applicable to all offices and agencies under the jurisdiction of the Ethics Ordinance. Any deviation from said rules shall be approved by the Ethics Director.

(Ord. No. 93-O-29, § 2.3, 8-3-1993; Ord. No. 99-O-18, § 2.3, 6-22-1999; Ord. No. 04-O-18, § 2.3, 5-18-2004; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-574. - Receiving and soliciting gifts and favors.

(a) Gift ban.

(1) Except as provided in subsection (b) of this section, no County Employee, Official, or Board or Commission Appointee shall, directly or indirectly:

(A) solicit any Gift for him or herself, or any Relative;

(B) accept any Gift given anonymously;

(C) accept any Gift of cash, gift card(s), or cash equivalent for him or herself, or any Relative; or

(D) accept any Gift from a Prohibited Source for him or herself, or any Relative, unless the total value of all gifts given by the Prohibited Source to the Employee, Official, or Board or Commission Appointee, or Employee, Official, or Board or Commission Appointee's Relative, domestic partner, civil union partner, or immediate family living with him or her amounts to no more than \$100.00 during the preceding 365 days.

(2) The prohibitions in Subsection (a)(1) of this Section apply with equal force to any Immediate Family Member of any County Employee, Official, and Board or Commission Appointee.

(3) No Person subject to this ordinance shall make or offer to make a Gift that violates this Section.

(b) Exceptions. The restriction in Subsection (a) of this Section does not apply to the following:

(1) Reasonable expenses provided by a Prohibited Source associated with materials, events, conferences and missions related to educating and training County Employees, Officials, and Board or Commission Appointees.

(2) Reasonable expenses provided by a Prohibited Source associated with travelling to and from meetings where County Employees, Officials, and Board or Commission Appointees represent the County, Board or Commission on official business.

(3) *Gift from relative.* A Gift given to the recipient Employee, Official, or Board or Commission Appointee, or the recipient Employee, Official, or Board or Commission Appointee's Immediate Family Member by a Relative that would otherwise violate Subsection (a) of this Section.

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- (4) *Gift on basis of personal friendship.* A Gift given by a personal friend to the recipient Employee, Official, or Board or Commission Appointee, or the recipient Employee, Official, or Board or Commission Appointee's Immediate Family Member, unless:

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- (A) the personal friend is a Prohibited Source; or

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- (B) the recipient Employee, Official, or Board or Commission Appointee, or the recipient Employee, Official, or Board or Commission Appointee's Immediate Family Member believes or has reason to believe that, under the circumstances, the gift was given because of the recipient Employee, Official, or Board or Commission Appointee's position or employment and not because of the personal friendship with the giver.

- (5) *Food, refreshments, lodging, transportation, etc., from outside employment.* Food, refreshments, lodging, transportation, and other benefits resulting from the outside business or employment activities (or outside activities that are not connected to the duties of the Employee, Official, or Board or Commission Appointee as an office holder or employee) of the Employee, Official, or Board or Commission Appointee, or the spouse, domestic partner, or civil union partner of the Employee, Official, or Board or Commission Appointee, if the benefits have not been offered or enhanced because of the official position or employment of the Employee, Official, or Board or Commission Appointee, and are customarily provided to others in similar circumstances.
- (6) *Intra-governmental and inter-governmental gifts.* For the purpose of this article "intra-governmental gift" means any gift given to an Employee, Official, or Board or Commission Appointee from another Employee, Official, or Board or Commission Appointee of the same County agency or board or commission; and "inter-governmental gift" means any gift given to an Employee, Official, or Board or Commission Appointee of a County agency or department by an Employee, Official, or Board or Commission Appointee of another County agency or department or of any governmental entity.
- (7) *Bequests.* Bequests, inheritances, and other transfers at death.

Each of the exceptions listed in this subsection is independent of one another.

- (c) An Employee, Official, or Board or Commission Appointee does not violate this Ordinance if, within 30 days of taking action that violates Subsection (a) of this Section, the Employee, Official, or Board or Commission Appointee:
  - (1) Returns the Gift to its source;
  - (2) Gives the Gift or an amount equal to its value to an entity that is exempt from income taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as now or hereafter amended, renumbered, or succeeded; or
  - (3) In the case of a violation of Subsection (a)(1)(D) of this Section, gives an amount equal to the excess of the annual dollar-value limit established in that Subsection to an entity that is exempt from income taxation under Section 501(c)(3) of the

Internal Revenue Code of 1986, as now or hereafter amended, renumbered, or succeeded.

- (d) Gifts which have a value of greater than \$100.00 (or a series of gifts with an aggregate value of greater than \$100.00 from one prohibited source during any calendar year) received by any official, board or commission appointee or employee from a prohibited source shall be disclosed to the Board of Ethics by the recipient within ten business days of receipt. The disclosure shall include the name and government title of the recipient; the name, address, occupation and employer of the donor; a description of the gift and its value; and the intended use or disposition of the gift. This requirement applies with equal force to gifts that are excepted from the restriction in Subsection (a) of this section and gifts for which an official, board or commission appointee or employee has taken an action under Subsection (c) of this section in order to remain compliant with Subsection (a).
- (e) Any and all gifts having a value greater than \$100.00 and received by an official, board or commission appointee or employee for participating in speaking engagements, lectures, debates or organized discussion forums arising out of his or her County employment shall be disclosed to the Board of Ethics within ten business days of receipt.

(Ord. No. 93-O-29, § 2.4, 8-3-1993; Ord. No. 99-O-18, § 2.4, 6-22-1999; Ord. No. 99-O-22, § 1(2.4(b)(3)), 7-8-1999; Ord. No. 04-O-18, § 2.4, 5-18-2004; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-575. – Sexual Harassment.

- (a) No Employee, Official, or Board or Commission Appointee shall (1) engage in or encourage behavior constituting sexual harassment; or (2) knowingly permit or condone behavior constituting sexual harassment by or against another Person when that Employee, Official, or Board or Commission Appointee supervises, manages, or controls the activity the other Person.
- (b) The requirement in Subsection (a) of this Section does not limit or replace any other applicable law, rule, regulation, process, or policy regarding such conduct.

Sec. 2-576. - County-owned property and resources.

No Employee, Official, or Board or Commission Appointee shall engage in or permit the unauthorized use of property and resources that are owned or leased by the County or the Board or Commission to which they are appointed. Such property and resources shall only be used for official County or Board or Commission business.

(Ord. No. 93-O-29, § 2.6, 8-3-1993; Ord. No. 99-O-18, § 2.6, 6-22-1999; Ord. No. 04-O-18, § 2.5, 5-18-2004; Ord. No. 12-O-38, 7-24-2012.)

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Sec. 2-577. - Use or disclosure of confidential information.

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No Employee, Official, or Board or Commission Appointee shall use or disclose confidential information gained in the course of or by reason of his or her position or employment, other than:

- (1) In the performance of his or her official duties;
- (2) As may be required by law; or
- (3) As permitted in Section 2-584 or other whistleblower law.

(Ord. No. 93-O-29, § 2.7, 8-3-1993; Ord. No. 99-O-18, § 2.7, 6-22-1999; Ord. No. 04-O-18, § 2.6, 5-18-2004; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-578. – Improper Influence.

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(a) No Employee, Official, or Board or Commission Appointee shall use or in any way attempt to use their official position to influence any County governmental decision or action, including decisions or actions on any Board or Commission Agenda Item or proposed Board or Commission Agenda Items:

(1) which the Employee, Official, or Board or Commission Appointee knows, has reason to know, or should know that they or any Immediate Family Member has any Economic Interest in the effect of such action or decision that is distinguishable from such effect on the general public of the County;

(2) from which the Employee, Official, or Board or Commission Appointee knows, has reason to know, or should know that (A) the they, or their Immediate Family Member has derived any income or compensation during the preceding twelve (12) months; or (B) from which they or their Immediate Family Member reasonably expects to derive any income or compensation during the following twelve (12) months;

(3) which creates, obtains, acquires, transfers, sells, or disposes of any privilege, right, option, license, or similar legal entitlement on behalf of (A) the Employee, Official, or Board or Commission Appointee; (B) the Employee, Official, or Board or Commission Appointee's Immediate Family Member; or (C) any Person from whom the Employee, Official, or Board or Commission Appointee has received Compensation during the previous twelve (12) months or expects reasonably to receive compensation during the following twelve (12) months; or

(4) which risks creating an appearance of impropriety or impairing the Employee, Official, or Board or Commission Appointee's capacity to satisfy another fiduciary duty pursuant to Section 2-571 of this Ordinance.

(Ord. No. 93-O-29, § 2.8, 8-3-1993; Ord. No. 99-O-18, § 2.8, 6-22-1999; Ord. No. 04-O-18, § 2.7, 5-18-2004; Ord. No. 11-O-36, 3-15-2011; Ord. No. 11-O-44, 4-20-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)



Sec. 2-579. - Representation of other persons.

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(a) No Official or Employee may represent, or have a direct or indirect economic interest in the representation of, any Person, other himself, herself, or the County:

(1) in any formal or informal proceeding or transaction before any County agency in which the agency's action or nonaction is of a non-ministerial nature or may result in an adverse effect on County revenue, finances, or the health, safety, welfare or relative tax burden of any County resident; or

(2) in any judicial or quasi-judicial proceeding before any administrative agency or court in which the County is a party and that person's interest is adverse to that of the County.

(b) No Board or Commission Appointee may represent, or have a direct or indirect economic interest in the representation of, any Person, other than himself, herself, the County, or board or commission on which the appointee serves:

(1) in any formal or informal proceeding or transaction before the board or commission to which the Board or Commission Appointee is a party in which the board or commission's action or nonaction is of a non-ministerial nature or may result in an adverse effect on County revenues, finances, or the health, safety, welfare or relative tax burden of any County resident; or

(2) in any judicial or quasi-judicial proceeding before any administrative agency or court in which the board or commission to which the Board or Commission Appointee is a party is a party and that person's interest is adverse to that of the County;

(c) No Board or Commission Appointee may represent any person in the circumstances described in Subsection (a) of this Section unless the matter is wholly unrelated to the Board or Commission Appointee's duties and responsibilities and risk creating an appearance of impropriety or impairing the Board or Commission Appointee's capacity to satisfy another fiduciary duty pursuant to Section 2-571 of this Ordinance.

(d) No official or employee may derive any income, compensation, or other tangible benefit from providing opinion evidence as an expert in a matter adverse to any County agency, board, or commission in any judicial or quasi-judicial proceeding before any administrative agency or court.

(e) For purposes of this Section:

(1) the term "economic interest" shall not include the Economic or Financial Interest an Employee, Official, or Board or Commission Appointee's spouse, domestic partner, or civil union partner which is related to the independent occupation, profession, or employment of the spouse, domestic partner, or civil union partner; and

(2) the terms "represent" and "representation" mean appearances, whether for compensation or not, in which the Employee, Official, or Board or Commission Appointee advocates for private Economic or Financial interests.

(f) Nothing in this Section shall preclude:

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- (1) any Employee from performing the duties of his employment;
- (2) any Official or Board or Commission Appointee from appearing without compensation before any County agency, board, commission on behalf of his constituents in the ordinary course of his duties as an elected official; and
- (3) any Employee, Official, or Board or Commission Appointee from representing him or herself; his or her spouse, domestic partner, or civil union partner; or his or her minor child or dependent in any judicial or quasi-judicial proceeding before any administrative agency or court.

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(Ord. No. 93-O-29, § 2.9, 8-3-1993; Ord. No. 99-O-18, § 2.9, 6-22-1999; Ord. No. 04-O-18, § 2.8, 5-18-2004; Ord. No. 11-O-40, 3-15-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-580. - Post-employment restrictions.

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- (a) No former Employee, Official, or Board or Commission Appointee shall assist or represent any Person other than the County in any judicial or administrative proceeding involving the County if the former Official, Employee, or Board or Commission Appointee was counsel of record or participated personally and substantially in the proceeding during his or her term of office or employment.
- (b) No former Employee, Official, or Board or Commission Appointee shall assist or represent any Person in any business transaction involving the County, if the Official, Employee, or Board or Commission Appointee participated personally and substantially in that transaction during his or her term of office or employment.
- (c) Except as provided in subsection (f) of this Section, for a period of 364 calendar days following the termination of his or her term of office or employment, no former Employee, Official, or Board or Commission Appointee shall accept employment or receive any form of compensation, consideration, or fees for services from any Person if the Official, Employee, or Board or Commission Appointee substantially participated in the decision to award a contract with a value of more than \$10,000.00 on behalf of the County, or contracts with a cumulative value of more than, \$10,000.00 on behalf of the County, to the Person offering such employment, compensation, consideration, or fees for services during the 364 calendar days prior to the termination of the Official, Employee, or Board or Commission Appointee's term of office or employment.
- (d) Except as provided in subsection (f) of this Section, for a period of 364 calendar days following the termination of his or her term of office or employment, no former Employee, Official, or Board or Commission Appointee may Lobby any County agency, board, commission, Official, Board or Commission Appointee, or Employee on behalf of any other Person.
- (e) Except as provided in subsection (f) of this Section, for a period of 364 calendar days following the termination of his or her term of office or employment, no former Employee, Official, or Board or Commission Appointee may contract with the County to provide more

than \$5,000.00 in compensated professional services to the County or otherwise receive more than \$5,000.00 in compensation for his or her labor from the County.

- (f) The requirements of this Section may be waived by the Board of Ethics upon a showing by the former official or employee that such waiver would not result in a conflict of interest, disclosure of the County's confidential information or the appearance of impropriety. Additional requirements for waiver may be further defined by rules adopted by the Board of Ethics, as authorized.

(g) Nothing in this section shall be construed to restrict a former Employee, Official, or Board or Commission Appointee's activities on behalf of, and while employed by, another government agency.

- (g) This Section applies only to persons who terminate an affected position on or after the effective date of this article.

(Ord. No. 93-O-29, § 2.10, 8-3-1993; Ord. No. 99-O-18, § 2.10, 6-22-1999; Ord. No. 04-O-18, § 2.9, 5-18-2004; Ord. No. 09-O-73, 10-6-2009; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-581. - Interest in county business or board or commission business.

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- (a) No Official or Employee shall have a financial interest in:

- (1) any contract, work or business of the County; or
- (2) the disposition, sale, or transfer of any article, property, or legal entitlement, whenever the expense, price, or consideration of such transaction is paid with funds belonging to or administered by the County.

- (b) No Board or Commission Appointee shall have a financial interest in:

- (1) any contract, work or business of the Board or Commission to which they are appointed; or
- (2) the disposition, sale, or transfer of any article, property, or legal entitlement, whenever the expense, price, or consideration of such transaction is paid with funds belonging to or administered by the board or commission to which they are appointed.

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- (c) No Board or Commission Appointee shall have a financial interest or engage in a transaction described in Subsection (a) of this Section unless the matter is wholly unrelated to the Appointee's duties and responsibilities.

- (d) Unless sold pursuant to a process of competitive bidding following public notice, no Employee, Official, or Board of Commission Appointee shall have a financial interest in the purchase of any property that:

- (1) Belongs to the County;
- (2) Is sold for taxes or assessments; or
- (3) Is sold by virtue of legal process at the suit of the County.

- (e) Compensation for property taken pursuant to the County's eminent domain power shall not constitute a financial interest within the meaning of this Section.

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(Ord. No. 93-O-29, § 2.11, 8-3-1993; Ord. No. 99-O-18, § 2.11, 6-22-1999; Ord. No. 04-O-18, § 2.10, 5-18-2004; Ord. No. 11-O-37, 3-15-2011; Ord. No. 12-O-38, 7-24-2012.)

Sec. 2-582. - Employment of relatives.

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- (a) No Employee, Official, or Board or Commission Appointee shall:
  - (1) hire a Relative, on behalf of the County, or a County Board or Commission;
  - (2) participate in a hiring decision respecting a Relative on behalf of the County, or a County Board or Commission;
  - (3) hire or participate in a hiring decision respecting any Person on behalf of the County, or a County Board or Commission, in exchange or consideration of another Person's employment of such Employee, Official, or Board or Commission Appointee's Relative; or
  - (4) induce, or attempt to induce, another Employee, Official, or Board or Commission Appointee to hire, influence a hiring decision, or attempt to influence a hiring decision on behalf of a Relative of such Employee, Official, or Board or Commission Appointee.
- (b) No Employee, Official, or Board or Commission Appointee shall directly supervise or participate in the evaluation of the work or job performance of any Relative of such Employee, Official, or Board or Commission Appointee unless:
  - (1) such supervision is required by law;
  - (2) the Board provides written approval of such arrangement in advance; or
  - (3) the supervisory arrangement at issue predates the enactment of this amendment to this ordinance.
- (c)
  - (1) No Employee, Official, or Board or Commission Appointee, on behalf of any County Agency, Board or Commission, shall participate in a decision as whether to contract with any Person with whom or in which the Employee, Official, or Board or Commission Appointee knows, has reason to know, or should know that the Employee, Official, or Board or Commission Appointee, or any Relative of the Employee, Official, or Board or Commission has a financial or economic interest distinguishable from the members of the general public of the County.
  - (2) No Employee, Official, or Board or Commission Appointee shall have or exercise contract management authority over any Person that the Employee, Official, or Board or Commission Appointee knows, has reason to know, or should know employs or contracts with the Employee, Official, or Board or Commission Appointee or any Relative of the Employee, Official, or Board or Commission Appointee.

(d)

- (1) Any Person or Persons Doing business with the County shall be required to disclose to the Board of Ethics, upon execution of a contract with the County, the existence of Familial Relationships such Person knows, has reason to know, or should know that they have with all Persons currently holding an elected office associated with the County of Cook, (i.e., Cook County Commissioners, the Cook County Board President, the Cook County Sheriff, the Cook County State's Attorney, the Cook County Assessor, the Cook County Clerk, the Cook County Clerk of the Circuit Court, the Cook County Recorder of Deeds, the Cook County Treasurer, and members of the Cook County Board of Review).
- (2) Any Person Doing business with the County that is also an entity, whether organized for profit or not, shall also disclose to the Board of Ethics, upon execution of a contract with the County, the existence of Familial Relationships between:
  - (A) all Persons currently holding an elected office associated with the County of Cook (i.e., Cook County Commissioners, the Cook County Board President, the Cook County Sheriff, the Cook County State's Attorney, the Cook County Assessor, the Cook County Clerk, the Cook County Clerk of the Circuit Court, the Cook County Recorder of Deeds, the Cook County Treasurer, and members of the Cook County Board of Review).
  - (B) the following persons who are employed by the entity, or who were employed by the entity during the 12-month period immediately preceding the date of execution of the contract:
    - (1) All natural persons who are designated as the entity's board of directors;
    - (2) All officers of the entity;
    - (3) All persons who are responsible for the general administration or operation of the entity;
    - (4) All agents who are duly authorized to execute documents related to Cook County business on behalf of the business entity;
    - (5) All employees who are directly engaged in contractual work with the County on behalf of the business entity;
  - (C) that are or should be known to any of the Persons referred to in Subsection (d)(1)(B), or that any of those Persons have reason to know exist.

Any Person that is Doing business with the County in accordance with this Subsection (d) at the time this Ordinance is amended shall be required to file such disclosure no later than 60 days after the effective date of this Section, unless such disclosure would duplicate exactly the Person's most recent previous disclosure pursuant the previously effective Subsection of this Division requiring such disclosure.

- (e) All Persons registered as a Lobbyist pursuant to Section 2-631 of this Ordinance shall be required, upon filing with the Cook County Clerk, to disclose to the Board of Ethics the

existence of any Familial Relationships they may have with all Persons currently holding an elected office associated with the County of Cook (i.e., Cook County Commissioners, the Cook County Board President, the Cook County Sheriff, the Cook County State's Attorney, the Cook County Assessor, the Cook County Clerk, the Cook County Clerk of the Circuit Court, the Cook County Recorder of Deeds, the Cook County Treasurer, and members of the Cook County Board of Review).

(1) The disclosure required by this Section shall be filed by January 20 of each calendar year, or within thirty (30) days of any Relative's election to an office referenced in this Subsection (e) or the execution of any contract, agreement, or lease.

(f) In addition to other penalties provided in this division, any person filing a late disclosure statement under Subsection (e)(1) of this Section shall be assessed a late penalty in the amount of \$100.00 per day that the disclosure is late, payable to the Cook County Board of Ethics upon filing.

(g) The Cook County Board of Ethics is hereby authorized to promulgate rules and procedures for the reporting and enforcement of this Section, including the designation of a disclosure form to be used by all persons required to file under this Section.

(Ord. No. 93-O-29, § 2.12, 8-3-1993; Ord. No. 99-O-18, § 2.12, 6-22-1999; Ord. No. 04-O-18, § 2.11, 5-18-2004; Ord. No. 09-O-68, 9-16-2009; Ord. No. 11-O-38, 3-15-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-583. - Political activity.

(a) No official, board or commission appointee or employee shall compel, coerce or intimidate any County official or employee to make or refrain from making any political contribution. No official, board or commission appointee shall directly solicit any political contribution from his or her employees, the spouses, domestic partners or civil union partners of or immediate family living with his or her employees. Nothing in this subsection shall be construed to prevent any official, board or commission appointee or employee from voluntarily making a contribution or from receiving a voluntary contribution.

(b) No employee with contract management authority or board or commission appointee of a County official whose board or commission appointment provides for compensation and requires confirmation by the Cook County Board of Commissioners shall serve on the political committee of any elected official or candidate for County office.

(c) County employees shall not intentionally perform any prohibited political activity during any compensated time (other than vacation, personal, or compensatory time off). County employees or officials shall not intentionally misappropriate any County property or resources by engaging in any prohibited political activity for the benefit of any campaign for elective office or any political organization or political committee.

(d) At no time shall any official or employee intentionally misappropriate the services of any County employee and at no time shall any board or commission appointee misappropriate

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the services of any board or commission employee by requiring that employee to perform any prohibited political activity:

- (1) As part of that employee's County duties or in the case of a board or commission, as part of that employee's board or commission duties;
  - (2) As a condition of County employment or in the case of a board or commission, as a condition of board or commission employment; or during any time off that is compensated by the County or board or commission (such as vacation, personal, or compensatory time off).
- (e) A County employee or board or commission appointee shall not be required at any time to participate in any prohibited political activity in consideration for that employee being awarded any additional compensation or employee benefit, in the form of a salary adjustment, bonus, compensatory time off, continued employment, or otherwise.
- (f) A County employee or board or commission appointee shall not be awarded any additional compensation or employee benefit, in the form of a salary adjustment, bonus, compensatory time off, continued employment, or otherwise, in consideration for the employee's participation in any prohibited political activity.
- (g) Nothing in this Section prohibits activities that are otherwise appropriate for a County employee or board or commission appointee to engage in on a voluntary basis as permitted by law.

(Ord. No. 93-O-29, § 2.13, 8-3-1993; Ord. No. 99-O-18, § 2.13, 6-22-1999; Ord. No. 04-O-18, § 2.12, 5-18-2004; Ord. No. 10-O-56, 9-15-2010; Ord. No. 11-O-39, 3-15-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 13-O-49, 10-2-2013; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-584. - Whistleblower protection.

- (a) No complainant, or employee acting on behalf of a complainant, shall be discharged, threatened or otherwise discriminated against regarding compensation, terms, conditions, location or privileges of employment because:
- (1) The complainant or employee acting on behalf of the complainant reports or is about to report, verbally or in writing, a violation or suspected violation of this Ordinance; or
  - (2) The complainant or employee acting on behalf of the complainant is requested to participate in an investigation, hearing or inquiry held pursuant to this Ordinance, or in any related court action.
- (b) This Section shall not apply to a complainant, or employee acting on behalf of a complainant, who knowingly makes a false report.

(Ord. No. 93-O-29, § 2.14, 8-3-1993; Ord. No. 99-O-18, § 2.14, 6-22-1999; Ord. No. 04-O-18, § 2.13, 5-18-2004.)

Sec. 2-585. - Limitations of contributions to candidates and elected officials.

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- (a) No Person shall make contributions exceeding the limits established by the Election Code, 10 ILCS 5/9-1 *et seq.*, when making contributions to any of the following elected Officials or Candidates for such office: Cook County Commissioners, the Cook County Board President, the Cook County Sheriff, the Cook County State's Attorney, the Cook County Assessor, the Cook County Clerk, the Cook County Clerk of the Circuit Court, the Cook County Recorder of Deeds, the Cook County Treasurer, and members of the Cook County Board of Review, except as otherwise provided in Section 2-585.
- (b) No person who (1) Does Business with the County, has Done Business with the County during the preceding four years, or is Seeking to Do Business with the County; (2) is a Person required to register as a Lobbyist with the County pursuant to Division 3; (3) has sought official action by the County during the preceding four years; (4) is an officer, director or partner of a firm, contracted by the County to act as financial counsel, bond counsel, underwriter's counsel, legal counsel, or financial manager for the issuance of any bond and directly working on said bond transaction; or (5) firms, officers, directors, or partners, contracted by the County to provide financial audits of County finances and directly working on said contract, shall make contributions in an aggregate amount exceeding \$750.00:
- (1) To any Candidate for County office or elected County Official during a Single Candidacy;
  - (2) To any elected Official of the government of the County during any nonelection year of his or her term.
  - (3) To any local, state, or federal political committee that is established in support of a specific candidate for County office or an elected County Official.

The combined effect of the provisions in Subsections (a) and (b) of this Section is intended to permit total contribution up to, but not exceeding, \$1,500.00 in a year in which a candidacy occurs. A year, for purposes of this Section, is from January 1 to December 31 of each year.

(c)

- (1) For purposes of Subsection (b) of this Section, an entity, and any subsidiary, parent, or otherwise affiliated entity or entities, including affiliated (A) separate, segregated entity funds, as that term is described in 52 U.S.C. § 30118(b)), and (B) political committees, as that term is defined in 10 ILCS 5/9-1.8, shall be considered a single Person.
- (2) For purposes of Subsection (b) of this Section, the political contributions for which an entity's employees, agents, officers, directors, partners, contractors, or similar associated parties are reimbursed by the entity or its subsidiary, parent, or affiliate entities, as defined in Subsection (c)(1) of this Section shall also be considered those of a single Person, provided, however, that nothing in this provision shall be construed to prohibit such an employee, officer, director or partner from making a political contribution for which he is not reimbursed by a person with whom he or she is affiliated.



(d) Effective October 2, 2013, no Board or commission appointee of a County official whose board or commission appointment provides for compensation and requires confirmation by the Cook County Board of Commissioners shall make contributions in an aggregate amount exceeding \$750.00:

- (1) To the appointing County official or the Members of the Cook County Board of Commissioners during a single candidacy; or
- (2) To the appointing County official or the Members of the Cook County Board of Commissioners during any nonelection year of his or her term.

The combined effect of these provisions is intended to permit total contribution up to, but not exceeding, \$1,500.00 in a year in which a candidacy occurs. A year, for purposes of this Section, is from January 1 to December 31 of each year.

- (e) Any contributions made under this Section shall be reported as required by the Election Code, 10 ILCS 5/1-1 et seq.
- (f) Any candidate for any County office or any current elected official in Cook County government shall return contributions found in excess of the limitations set forth in this Section within 30 days of notification from the Board of Ethics. Failure to return contributions within 30 days shall be a violation of this Section and subject to fines under Section 2-602.

(Ord. No. 93-O-29, § 2.15, 8-3-1993; Ord. No. 99-O-18, § 2.415, 6-22-1999; Ord. No. 04-O-18, § 2.14, 5-18-2004; Ord. No. 07-O-49, 7-10-2007; Ord. No. 09-O-60, 9-1-2009; Ord. No. 10-O-54, 9-15-2010; Ord. No. 10-O-55, 9-15-2010; Ord. No. 11-O-62, 6-14-2011; Ord. No. 13-O-49, 10-2-2013; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-586. - Newsletters, brochures, public service announcements, and promotional materials. ←

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(a) Except as provided by Subsection (b), County funds and resources may not be used by any Employee, Official, or Board or Commission Appointee who is a candidate in a general or primary election, to print, mail, transmit, or pay for the printing, ~~or~~ mailing, or transmission of any newsletters, brochures, or informational documents bearing the proper name, nickname, or any image of the Employee, Official, or Board or Commission Appointee during the periods between:

- (1) January 1 of the year of a general primary election and ending the day after such general primary election; and
- (2) September 1 of the year of a general election and ending the day after such general election.

(b) Subsection (a) of this Section shall not apply to:

- (1) responses to unsolicited inquiries from constituents and other interested parties; or

(2) informational brochures that are solely related to and accompany any mailing of a property tax bill, notice of property tax assessment, notice of voter registration or polling place information, or to a brochure mailed to a constituent in response to that constituent's inquiry concerning the needs of that constituent or questions raised by that constituent.

- (c) No public service announcement or advertisement that is on behalf of any County administered program and contains the proper name, image, or voice of any County Employee, Official, or Board or Commission Appointee ~~elected County official~~ shall be broadcast or aired on radio or television or printed in a commercial newspaper or commercial magazine at any time on or after the date that the elected County official files nominating papers for any elected office, and ending the day after such general election if the elected County official is a candidate in such primary or general election.

(1) This Section shall not apply to any information available or posted by the County or any elected County official on any Cook County owned website or County owned social media account.

- (d) The proper name or image of any elected official may not appear on any promotional materials or items if designed, paid for, produced, and/or distributed with public funds or resources, including, but not limited to:

- (1) Bumper stickers;
- (2) Commercial billboards;
- (3) Lapel pins or buttons;
- (4) Magnets;
- (5) Sports teams;
- (6) Items of clothing or apparel or
- (7) Stickers.

(Ord. No. 04-O-18, § 2.15, 5-18-2004; Ord. No. 09-O-39, 7-2-2009; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-587. - Ethics Education Seminar.

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- (a) Each elected official, members of each elected official's personal staff, each employee holding a senior administrative service position with the County, and each board or commission appointee, upon due notice, shall attend an ethics education seminar offered on a regular basis by the Board of Ethics within 120 days of the effective date of this amendatory ordinance or within 120 days of becoming an elected official, becoming a member of an elected official's personal staff, becoming a board or commission appointee or holding a senior administrative service position with the County (or as soon thereafter as an ethics education seminar is offered by the Board of Ethics) and every four years

thereafter. The seminar shall educate persons as to their duties and responsibilities under this article.

(b) The Board of Ethics shall define "senior administrative service position" by rule.

(Ord. No. 04-O-18, § 2.16, 5-18-2004; Ord. No. 12-O-38, 7-24-2012.)

Sec. 2-588. - Identity protection policy.

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(a) *Prohibited activities.* No officer or employee of the County shall do any of the following:

- (1) Publicly post or publicly display in any manner an individual's social security number;
- (2) Print an individual's social security on any card required for the individual to access products or services provided by the person or entity;
- (3) Require an individual to transmit his or her social security number over the internet, unless the connection is secure or the social security number is encrypted;
- (4) Print an individual's social security number on any materials that are mailed to the individual, through the U.S. Postal Service, any private mail service, electronic mail, or any similar method of delivery, unless State or Federal law requires the social security number to be on the document to be mailed. A social security number that may be permissibly mailed under this Section may not be printed, in whole or in part, on a postcard or other mailer that does not require an envelope or be visible on an envelope without the envelope having been opened;
- (5) Collect, use or disclose a social security number from an individual, unless (i) required to do so under State or Federal law, rules or regulations, or the collection, use or disclosure of the social security number is absolutely necessary for the performance of that agency's duties and responsibilities; (ii) the need and purpose for the social security number is documented before collection of the social security number; and (iii) the social security number collected is relevant to the documented need and purpose;
- (6) Require an individual to use his or her social security number to access an internet website;
- (7) Use the social security number for any purpose other than the purpose for which it was collected.

(b) *Exceptions.* The prohibitions in subsection (a) do not apply in the following circumstances:

- (1) The disclosure of social security numbers to agents, employees, contractors or subcontractors of the County or disclosure to another governmental entity or its agents, employees, contractors or subcontractors if disclosure is absolutely necessary in order for the entity to perform its duties and responsibilities;
- (2) The disclosure of social security numbers pursuant to a court order, warrant or subpoena;

- (3) The collection, use or disclosure of social security numbers if it is absolutely necessary in order to ensure the safety of County employees, persons committed to correctional facilities, local jails and other law enforcement facilities or retention centers; and all persons working in or visiting a County facility;
  - (4) The collection, use or disclosure of social security numbers if it is absolutely necessary for internal verification or administrative purposes;
  - (5) The collection or use of social security numbers to investigate or prevent fraud, to conduct background checks, to collect a debt, to obtain a credit report from a consumer reporting agency under the federal Fair Credit Reporting Act, to undertake any permissible purpose that is enumerated under the federal Gramm Leach Bliley Act, or to locate a missing person, a lost relative, or a person who is due a benefit such as a pension benefit or an unclaimed property benefit.
- (c) *Conflicts.* Any standards of the County for the collection, use or disclosure of social security numbers that are stricter than the standards under this policy with respect to the protection of those social security numbers shall control in the event of any conflict with the provisions of this policy.
- (d) *Public Inspection and Copying of Documents.* Notwithstanding any other provision of this policy to the contrary, all officers of the County must comply with the provisions of any other State law with respect to allowing the public inspection and copying of information or documents containing all or any portion of an individual's social security number. All officers and employees of the County must redact social security numbers from the information or documents before allowing the public inspection or copying of the information or documents.
- (e) *Applicability.*
- (1) This policy does not apply to the collection, use or disclosure of a social security number as required by State or Federal law, rule or regulation.
  - (2) This policy does not apply to documents that are required to be open to the public under any State or Federal law, rule or regulation, applicable case law, Supreme Court Rule, or the Constitution of the State of Illinois.
- (f) *Compliance with Federal Law.* If a Federal law takes effect requiring any Federal agency to establish a national unique patient health identifier program, the County shall follow that law.
- (g) *Embedded Social Security Numbers.* No officer or employee of the County may encode or embed a social security number in or on a card or document including, but not limited to, using a bar code, chip, magnetic strip, RFID technology, or other technology, in place of removing the social security number as required by this policy.
- (h) *Identity Protection Requirements.* In accordance with the requirements of the Identity Protection Act, 5 ILCS 179/1 et seq.

- (1) All officers, employees, and agents of the County identified as having access to social security numbers in the course of performing their duties shall be trained to protect the confidentiality of social security numbers. Training shall include instructions on the proper handling of information that contains social security numbers from the time of collection to the time of destruction of such information.
  - (2) Only employees who are required to use or handle information or documents that contain social security numbers shall have access to such information or documents.
  - (3) Social security numbers requested from an individual in permissible circumstances shall be provided in a manner that makes the social security number easily redacted if required to be released as part of a public records request.
  - (4) When collecting a social security number in permissible circumstances or upon request by the individual, a statement of the purpose(s) for which the County is collecting and using the social security number shall be provided.
  - (5) The County shall advise its employees of the existence of this policy and make a copy of the policy available to each employee, and shall also make this privacy policy available to any member of the public upon request. If the County amends this privacy policy, then the County shall also advise its employees of the existence of the amended policy and make a copy of the amended policy available to each employee.
- (i) *Implementation.* All County agencies shall adopt procedures to come into compliance with this policy by the effective date of this Ordinance.
  - (j) *Violation.* Any person who intentionally violates the prohibitions in Subsection (a) of this policy is guilty of a Class B misdemeanor. Suspected violations shall be reported to the Board of Ethics or the Office of the Independent Inspector General as soon as practicable, and they will refer violations to the State's Attorney when appropriate for prosecution.
  - (k) *Supersede.* This policy does not supersede any more restrictive law, rule or regulation regarding the collection, use or disclosure of social security numbers. However, all ordinances or parts of ordinances in conflict with the provisions of this Ordinance are hereby repealed insofar as they conflict herewith.

(Ord. No. 11-O-76, 9-7-2011.)

Sec. 2-589. – Inducement of Others.

(a) No Person shall induce or attempt to induce another Person to violate the provisions of this Division.

(b) No Person shall aid or abet another Person in violating the provisions of this Division.

Subdivision III. - Financial Disclosure

Sec. 2-590589. - Generally.

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- (a) Officers and Employees shall file verified written statements of economic interests as required by the Illinois Governmental Ethics Act, 5 ILCS 420/4A-101 et seq. All statements shall be available in electronic form for examination and duplication by the Board of Ethics upon request.
- (b) The Board of Review and the Cook County Assessor shall post on their respective internet websites information pertaining to appeals filed with each office. The information posted will consist of the name of the applicant, the disposition of the appeal, the property index number, the appeal number, the Attorney or other representative of record and the basis for the disposition. The website shall allow for users to search by address, pin number, appeal number or name of the Attorney or other representative of record.

The Board of Review and the Cook County Assessor shall post the required appeal information for a total of four assessment years on their respective websites.

(Ord. No. 04-O-18, art. III, 5-18-2004; Ord. No. 07-O-48, 7-10-2007.)

~~Sec. 2-590. Inducement of Others.~~

~~(a) No Person shall induce or attempt to induce another Person to violate the provisions of this Division.~~

~~(b) No Person shall aid or abet another Person in violating the provisions of this Division.~~

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Subdivision IV. - Board of Ethics

Sec. 2-591. - Composition and powers.

The organization and administration of the Cook County Board of Ethics shall be sufficiently independent to assure that no interference or influence external to the office adversely affects the independence and objectivity of the Board of Ethics. The composition and powers of the Board of Ethics are as follows:

- (a) The Board of Ethics shall be composed of five members appointed by the President of the County Board with the advice and consent of the County Board and will take into account the diversity of communities and conditions protected by this article. The Board of Ethics shall have an executive director who shall be appointed by the President.
- (b) Each member of the Board shall:
  - (1) Reside within the corporate boundaries of the County;
  - (2) Not be an employee of the County or any agency thereof;
  - (3) Not hold elected public or political party office within the County;

- (4) Have no individual financial interest in any work or business of or official action by the County;
  - (5) Not take an active part in managing the political campaign of a candidate for County office;
  - (6) Not be convicted of any felony or any crime involving moral turpitude;
  - (7) Not be engaged in activities that require registration under the Cook County Lobbyist Registration Ordinance; and
  - (8) Not be a Relative of any elected official of the County.
- (c) The members of the Board shall be appointed for terms of four years and hold office until their successors have been appointed. The initial appointment of the members shall be as follows: One member for four years; two members for three years; and two members for two years.
- (d) Any member of the Board may be removed by the President, with the advice and consent of the Board of Commissioners, for incompetence, substantial neglect of duty, gross misconduct, malfeasance in office, or violation of any law, after written notice, stating the grounds for removal.
- (e) Board members shall receive no compensation for their services.
- (f) The Board shall be responsible for initiating, receiving, and investigating violations of this article. The Board's authority to investigate an alleged violation of this article is limited to violations which occurred not more than two years prior to the date upon which a complaint is received or discovery of the fact that an alleged violation has occurred.
- (g) The Board shall have the authority to issue subpoenas for the appearance of witnesses and the production of evidence in the course of investigations and hearings. All subpoenas shall be served in the same manner as subpoenas issued under the rules of the Illinois Supreme Court and shall be subject to the same witness and mileage fees fixed by law for such subpoenas. The Board shall adopt rules as necessary to implement this process.
- (h) The Board may delegate to the Board staff, as the Board considers necessary, any matter properly before the Board. The Board shall adopt rules which specify those duties and responsibilities which may be delegated to Board staff, and those duties and responsibilities which shall remain with the members of the Board.
- (i) If necessary, the Board shall conduct hearings to determine contested facts relevant to the Board's determination of whether an investigation has found substantial evidence that a violation of the Ordinance has occurred. The Board may delegate this power to a duly licensed attorney of its choosing, provided that any recommended conclusions of fact are subject to review, rejection, or modification by the Board before those recommendations are adopted.

- (j) Upon determination by a majority of the Board that there is reason to believe that a violation of this article has occurred, the Board may:
- (1) Notify the person who may have violated this article and request corrective action;
  - (2) Impose sanctions for violations as set out in Section 2-602 and sue in its own name to enforce its determinations;
  - (3) Recommend to the President or the appropriate elected official that disciplinary or other action within the elected official's authority should be taken in relation to the potential violation; and
  - (4) Recommend to the President or the appropriate elected official such other remedies as shall be appropriate.

All recommendations shall be in writing and shall be set forth with specificity including a statement of reasons in support. An elected official to whom a recommendation has been sent shall, within 30 days of receipt of the recommendation, report to the Board in writing the actions taken on the recommendation and, to the extent that any recommended action is declined or different action is taken, provide a statement of reasons for that decision. Any person who has received an adverse determination by the Board of Ethics can seek administrative review within 30 days of the date of the Board's final determination via a writ of certiorari to the Circuit Court of Cook County.

(k) Unless precluded by operation of law or rules of professional conduct, the Board shall be represented in all civil litigation and administrative proceedings by the Cook County State's Attorney.

(1) In the event that the Cook County State's Attorney is precluded from representing the Board in any civil litigation or administrative proceeding, the Board may engage a duly licensed attorney of its choosing;

(2) (A) Any attorney, including the Cook County State's Attorney, representing the Board in civil litigation or administrative proceedings shall have an attorney-client relationship with the Board and owe the Board the fiduciary duties that attach as a result of such relationship; and

(B) No attorney, including the Cook County State's Attorney, representing the Board in any civil litigation or administrative proceeding may settle, dismiss, or otherwise resolve such litigation or proceeding on behalf of the Board without a authorization of such settlement, dismissal, or resolution by the affirmative votes of a majority of a quorum of the Board.

(l) The Board may also advise, by means of written advisory opinions, and may consult with the County Board of Commissioners, President, County agencies, officials and employees on matters involving this article.



- (m) The Board may also from time to time recommend to the President or the Board of Commissioners such legislative action as it deems appropriate to effectuate the policy of this article.
- (n) The Board may adopt appropriate rules, definitions and regulations for the conduct of Board activities and duties as set forth in this article.
- (o) The Board shall prepare and publish an annual report summarizing the Board's activities and present the report to the President and the Board of Commissioners.
- (p) The Board shall preserve all pertinent records and reports for a period of not less than ten years.

(Ord. No. 93-O-29, § 4.1, 8-3-1993; Ord. No. 99-O-18, § 4.1, 6-22-1999; Ord. No. 04-O-18, § 4.1, 5-18-2004; Ord. No. 06-O-18, 5-3-2006; Ord. No. 12-O-68, 11-14-2012; Ord. No. 15-3085, 5-20-2015; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-592. - Confidentiality.

Investigations and consideration by the Board of Ethics of potential violations of this article shall be confidential, except as necessary to carry out powers and duties of the Board or to enable another person or agency to consider and act upon the notices and recommendations of the Board. The final determinations of the Board shall be made available to the public with such deletions as may be necessary to prevent disclosure of any information the Board determines to be confidential, in accordance with the Illinois Freedom of Information Act, as amended. The Board may require all persons who may be privy to confidential information regarding any aspect of its investigation sign an acknowledgement regarding the confidentiality of the information as provided in this Section.

(Ord. No. 93-O-29, § 4.2, 8-3-1993; Ord. No. 99-O-18, § 4.2, 6-22-1999; Ord. No. 04-O-18, § 4.2, 5-18-2004.)

Sec. 2-593. – Compliance with Board Proceedings and Investigations

Any Person subject to the terms of this Ordinance shall:

- (a) Make information necessary to any Board investigation or proceeding available to the Board or its delegate upon written request within a reasonable time; and
- (b) Make all reasonable efforts, upon written request, to cooperate with the Board or its delegate during any Board investigation or proceeding.

Secs. 2-~~594~~594—2-600. - Reserved.

Subdivision V. – Sanctions for Violation

Sec. 2-601. - Employment sanctions.

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Any employee or official found to have violated any provision of this article, to have knowingly furnished false or misleading information in any investigation, hearing or inquiry held pursuant to this article, or to have failed to cooperate with an investigation under this article shall be subject to employment sanctions, including discharge by the employing official. The provisions of this article shall not limit the power of officials to otherwise discipline employees.

(Ord. No. 93-O-29, § 5.1, 8-3-1993; Ord. No. 99-O-18, § 5.1, 6-22-1999; Ord. No. 04-O-18, § 5.1, 5-18-2004; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-602. – Fines and Penalties.

- (a) The Board shall impose a fine of at least \$1,001 and up to \$10,000 per violation against any Person found by the Board to have violated, intentionally obstructed or interfered with an investigation of, or intentionally made a false, frivolous or bad faith allegation under any Section contained within Division 2 of this Ordinance.
  - (1) The Board may impose a fine of less than \$1,001 when the Person determined to have violated a provision of Division 2 of this Ordinance is an Employee and demonstrates that such fine is unreasonable under the circumstances or would result in an unjust financial hardship to that Person.
- (b) In addition to the penalties provided for in Subsection (a) of this Section:
  - (1) any Person who is found by the Board to have knowingly or willfully filed a false, misleading, or incomplete disclosure shall be prohibited for a period of three years from the date of such finding from engaging, directly or indirectly, in any business with the County.
  - (2) any Person found by the Board to have violated any item of this Division 2 may be prohibited from engaging, directly or indirectly, in any business with the County for a period of up to three years from the date of such finding.
- (c) As authorized by the State Officials and Employees Ethics Act, any penalty imposed for violation of Section 2-575 shall be separate and distinct from any fines or penalties imposed by a court of law or a State or federal agency.
- (d) As authorized by the State Officials and Employees Ethics Act, a Person who intentionally violates any provision of Section 2-574 (Gift Ban) or 2-584 (Whistleblower Protection) is guilty of a business offense and subject upon conviction to a fine of at least \$1,001 and up to \$5,000, which is separate and distinct from any fine imposed under Subsection (a) of this section.
- (e) As authorized by the State Officials and Employees Ethics Act, a Person who intentionally violates any provision of Section 2-583 (Prohibited Political Activity) is guilty of a Class A misdemeanor, which is separate and distinct from any penalty imposed under Subsection (a) of this section.

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(Ord. No. 93-O-29, § 5.3, 8-3-1993; Ord. No. 99-O-18, § 5.3, 6-22-1999; Ord. No. 99-O-22, § 2(5.2), 7-8-1999; Ord. No. 04-O-18, § 5.2, 5-18-2004; Ord. No. 10-O-57, 9-15-2010; Ord. No. 15-3085, 5-20-2015; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-603. - Validity of contract.

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Any contract negotiated, entered into, or performed in violation of any of the provisions of this article shall be voidable by the County.

(Ord. No. 93-O-29, § 5.3, 8-3-1993; Ord. No. 99-O-18, § 5.3, 6-22-1999; Ord. No. 04-O-18, § 5.2, 5-18-2004.)

Secs. 2-604—2-609. - Reserved.

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Subdivision VI. - Requirement for Disclosure of Ownership Interest in Business Entities Seeking County Contracts

Sec. 2-610. - Actions requiring county approval; disclosure information required when.

- (a) Whenever any corporation; partnership; association; business trust; estate; two or more persons having a joint or common interest; other commercial or legal entity; trustee of a land trust; or any beneficiary or beneficiaries thereof makes application to the County of Cook for action requiring an ordinance, or ordinance amendment, county board approval, or other county agency approval, with respect to contracts, leases, or sale or purchase of real estate, the following disclosures and information shall be certified and attached to the application or document:
- (1) The name, address and percentage of ownership interest of each individual or entity having a legal or a beneficial interest of more than five percent in the applicant. Any entity required by law to file a statement providing substantially the information required by this paragraph with any other government agency may file a duplicate of such statement;
  - (2) Whenever any interest required to be disclosed in paragraph (1) is held by an agent or agents, or a nominee or nominees, the principals for whom such agents or nominees hold such interest shall also be disclosed. The application of a spouse, domestic partner, or civil union partner or any other party, if constructively controlled by another person, or legal entity as set forth above, shall state the name and address and percentage of beneficial interest of such person or entity possessing such constructive control and the relationship under which such control is being or may be exercised. Whenever a stock or beneficial interest is held by a corporation or other legal entity, such shareholder or beneficiary shall also make disclosure as required by paragraph (1) above;
  - (3) A statement under oath that the applicant has withheld no disclosures as to economic interests in the undertaking nor reserved any information, data or plan as to the

intended use or purpose for which it seeks county board or other county agency action.

(Ord. No. 08-O-49, 9-3-2008; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-611. - Information to be kept current.

All disclosures and information shall be current as of the date upon which the application is presented to the county board or other county agency, and shall be maintained current until such time as the county board or other county agency shall take action on the application. Furthermore, this information shall be maintained in a database and made available for public viewing.

(Ord. No. 08-O-49, 9-3-2008.)

Sec. 2-612. - Additional information authorized when.

Notwithstanding any of the above provisions, the Chief Procurement Officer with respect to contracts awarded by the Chief Procurement Officer or County, may require any such additional information from any vendor or proposed vendor which is reasonably intended to achieve full disclosure relevant to the request for action by the County Board of Commissioners or any other County agency in respect to contracts.

(Ord. No. 08-O-49, 9-3-2008; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-613. - Failure to comply.

Any failure to comply with the provisions of this division shall render any ordinance, ordinance amendment, county board approval or other county action in behalf of the applicant, person, business, vendor failing to comply, voidable at the option of the county board or other county agency involved, upon the recommendation of the President or the majority of the County Board of Commissioners.

(Ord. No. 08-O-49, 9-3-2008; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-614. - Rulemaking authority.

The County Purchasing Agent is authorized to promulgate rules and regulations and prepare forms to effectuate the purposes of this division.

(Ord. No. 08-O-49, 9-3-2008.)

Secs. 2-615—2-620. - Reserved.

DIVISION 3. - LOBBYISTS

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Subdivision I. - In General

Sec. 2-621. - Short title.

This division shall be known and may be cited as the Cook County Lobbyist Registration Ordinance.

Sec. 2-622. - Definitions.

The following words, terms and phrases, when used in this division shall have the meanings ascribed to them in this Section, except where the context clearly indicates a different meaning:

*Board* means the County Board and any and all of its standing or special committees or subcommittees.

*Clerk* means the duly elected or appointed Clerk of the County.

*Commissioner* means any of the duly elected or appointed Board members.

*Compensation* means money, thing of value or other pecuniary benefits received or to be received in return for, or as reimbursement for, or as a result of, services rendered or to be rendered, for Lobbying. This includes a contract, promise or agreement, whether or not legally enforceable, to provide or arrange for Compensation for services rendered or to be rendered.

*County Agency* means any board, commission, department or authority under the jurisdiction of the President or Board or any other County Official.

*County Appointee* means an individual appointed by the President to:

- (a) Any board or Commission created under County Ordinance or Illinois State Statute; or
- (b) Any units of local government (as defined in Article VII, Section 1 of the Constitution of the State of Illinois) created by Illinois state or County ordinance.

*County Employee* means an individual employed by the County whether part-time or full-time.

*County Matter or County Matters* means:

1. The approval, drafting, development, consideration, amendment, modification, review, introduction, proposal, postponement, adoption, approval, passage, enactment, promulgation, execution, issuance, defeat, rejection, or veto of any rule, resolution, regulation, standard, fee, rate, contractual agreement, purchasing agreement, order, decision, determination, proceeding or motion, or a portion thereof, by a County Official, County Appointee or County Employee;
2. The action of any County Official, County Appointee or County Employee in the development of a proposal for introduction before the Board.

*County Official* means the Assessor, members of the Board of Review, Clerk of the Circuit Court, Clerk, Commissioners, President, Recorder of Deeds, Sheriff, State's Attorney, and Treasurer of the County, and any County Agency or member thereof.

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*Expenditure* means anything having a value of \$10.00 or more including, but not limited to, a payment, distribution, loan, advance, deposit, Political Contributions, honoraria, travel or entertainment expense, meal or beverage expense, or gift of money. This includes a contract, promise, or agreement, whether or not legally enforceable, to make an Expenditure for services rendered or to be rendered.

*File, Filed, or Filing* means submitting an electronic report via the Clerk's website. Online submissions received by 11:59[p.m.] on the prescribed filing date will be considered on time.

*Gift* means anything having a value of \$10.00 or more given without consideration or expectation of return.

*Lobby or Lobbying* means to, for Compensation and on behalf of another Person, attempt to influence a County Official, County Appointee or County Employee with respect to any County matter, including, but not limited to:

- (1) A bond inducement ordinance;
- (2) A zoning matter;
- (3) A concession agreement;
- (4) The creation of a tax increment financing district;
- (5) The establishment of a Class 6(b), Class 7(a), Class 7(b), Class 9, Class C, Class L, Class S and/or any Cook County property tax classification established under Section 74-63 of the Cook County Code;
- (6) The introduction, passage or other action to be taken on an ordinance, resolution, motion, order, appointment or other matter before the Board;
- (7) The preparation of contract specifications;
- (8) The solicitation, award or administration of a contract;
- (9) The award or administration of a grant, loan, or other agreement involving the disbursement of public monies; or
- (10) Any other determination made by a County Official, Appointee or Employee with respect to the procurement of goods, services or construction.

Provided, however, that solely submitting an application for a county permit or license or responding to a county request for proposals or qualifications is not an attempt to influence a County Official, Appointee or Employee with respect to a County Matter.

*Lobbyist* means any Person who engages in Lobbying as defined in Section 2-622.

*Lobbying Activity Report* means a log, set forth in Section 2-634, maintained by each Lobbyist and submitted to the Clerk that records all Lobbying contacts by a Lobbyist with any County Official or County Employee.

*Lobbying Expenditure Report* means a log, set forth in Section 2-634, maintained by each Lobbyist and submitted to the Clerk that records all Expenditures made by the Person to or for

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the benefit of a County Official or County Employee, notwithstanding whether Lobbying was occurring at the time of the Expenditure, during the previous six months.

*Occasional Sales-Related Inquiries or Solicitations* means any contact by a Person with a County Employee and/or County Official for no more than five times in a year to discuss the solicitation, award, administration, technical requirements or clarification of a potential contract. The term "Occasional Sales-Related Inquiries or Solicitations" shall only apply to any activity by a Person who has not retained a Lobbyist to influence any County Matter.

*Person* means any individual, entity, corporation, partnership, firm, association, union, trust, estate, as well as any parent or subsidiary of any of the foregoing, and whether or not operated for profit.

*Political Contribution* means any money or thing of value given to a political committee, as defined in 10 ILCS 5/9-1.9 (political committee defined), in the County.

(Ord. No. 93-O-22, § A(2), 6-22-1993; Ord. No. 09-O-73, 10-6-2009; Ord. No. 10-O-52, 9-15-2010; Ord. No. 10-O-53, 9-15-2010; Ord. No. 14-3306, 11-19-2014.)

Secs. 2-623—2-630. - Reserved.

Subdivision II. - Registration

Sec. 2-631. - Persons required to register.

The following persons shall register with the Clerk as provided in this division:

- (1) Any person who lobbies.
- (2) Any person whose duties as an employee of another person include lobbying.
- (3) Any person who employs or contracts with another person for the purpose of lobbying, unless the existence of the employer or contractor and all expenditures made by the employer or contractor are fully disclosed by a lobbyist required to register under Subsection (1) or (2) of this Section.

(Ord. No. 93-O-22, § A(3), 6-22-1993.)

Sec. 2-632. - Persons not required to register.

This division is not intended and shall not be construed to apply to the following:

- (1) Persons who own, publish, or are employed by a newspaper or other regularly published periodical, or who own or are employed by a radio station, television station, or other bona fide news medium which in the ordinary course of business disseminates news, editorial or other comment, or paid advertisements for the purpose of influencing any County Matter. This exemption shall not be applicable to such an individual insofar as they receive additional Compensation or expenses from some source other than the bona fide news medium for the purpose of influencing any County Matter. This exemption does not apply to newspapers and periodicals owned by or published by trade associations and for profit corporations engaged primarily in endeavors other than the dissemination of news.

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- (2) Persons providing professional services in the drafting of ordinances, resolutions or bills or in advising and rendering opinions to clients as to the construction and effect of proposed or pending legislation, ordinances or resolutions where such professional services are not otherwise, directly or indirectly, connected with a County Matter.
- (3) Elected officials and employees of other units of government acting in their official capacity.
- (4) Persons who, by reason of their special skills or knowledge of any County Matter pending before the Board, are requested in writing by a Commissioner or the President to discuss such County Matter before the Board, regardless of whether or not such Persons receive Compensation for so appearing. This exemption shall only be applicable to the extent that such Persons appear in the foregoing capacity. To the extent that such Persons also engage in activities with respect to which this division otherwise requires them to register, they shall so register with respect to those activities.
- (5) Any full-time employee of a bona fide church or religious organization who represents that organization solely for the purpose of protecting the right of the members thereof to practice the religious doctrines of such church or religious organization.
- (6) Persons seeking to do business with Cook County whose contacts with County Officials, County Appointees and/or County Employees are limited to Occasional Sales-Related Inquiries or Solicitations, the submission of bids, or responses to requests for proposals or requests for qualifications, and who make no Expenditures which would otherwise be reportable under Section 2-634.
- (7) Persons representing clients before County agencies which conduct adversarial, assessment or quasi-judicial hearings and the decisions of which may ultimately be appealed to the Circuit Court of Cook County or the Board, representing clients in court proceedings and in anticipation of court proceedings, and representing clients at internal disciplinary hearings. This exemption shall only be applicable to the extent that such Persons appear in the foregoing capacity. To the extent that such Persons also engage in activities with respect to which this division otherwise requires them to register, they shall so register with respect to those activities.
- (8) Persons who are negotiating the terms of a collective bargaining agreement or other contract. This exemption shall only be applicable to the extent of such activity. To the extent that such Persons also engage in activities with respect to which this division otherwise requires them to register, they shall so register with respect to those activities.
- (9) Persons, including employees, officers, or directors Lobbying on behalf of a not-for-profit neighborhood, community or civic organization who receive no Compensation for Lobbying and who make no Expenditures to or for the benefit of a County Official or County Employee in connection with such Lobbying. This exemption shall only be applicable to the extent that such Persons appear in the foregoing capacity. To the



extent that such Persons also engage in activities with respect to which this division otherwise requires them to register, they shall so register with respect to those activities.

- (10) County Officials, County Appointees and County Employees acting in their official capacity.
- (11) Persons providing recommendations for other Persons seeking employment with the County. This exemption shall only be applicable to the extent that such Persons are involved in such activities. To the extent that such Persons also engage in activities with respect to which this division otherwise requires them to register, they shall so register with respect to those activities.
- (12) Persons who are owners, directors, officers or full-time employees of a business, which Person's Lobbying activities are limited to fewer than two County Matters per calendar year which would otherwise require registration under Section 2-631 and who make no Expenditures which would otherwise be reportable under Section 2-634.

(Ord. No. 93-O-22, § A(4), 6-22-1993; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-633. - Information required of registrants.

- (a) Within 30 days of engaging in any activity, which requires such Person to register, and subsequently between January 1 and January 20 of each year, every Person required to register under Section 2-631 shall File in the office of the Clerk a written statement, subscribed under oath, containing the following information:
  - (1) The registrant's name, permanent address and temporary address (if any) while Lobbying.
  - (2) The registrant's business affiliation and business address, or, if none, the statement that the registrant is a sole proprietor.
  - (3) With respect to each Person on behalf of which the registrant acts as a Lobbyist:
    - a. The name, business address, permanent address and nature of the business of the Person;
    - b. Whether the relationship is expected to involve Compensation or Expenditures or both; and
    - c. A brief description of the County Matter in reference to which such service is to be rendered.
  - (4) The name, business address, and permanent address of each Person employed by the registrant to perform such Lobbying services or who appears on behalf of the registrant.
  - (5) A picture of the registrant.

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- (6) Registrants shall pay an annual, nonrefundable, nontransferable filing fee as set out in Section 32-1, per entity and a separate fee per exclusive Lobbyist, payable to the Clerk upon Filing.
- (b) The separate fee, per exclusive Lobbyist, as set forth in subsection (a)(6) of this Section, shall be waived for employees of nonprofit organizations that are exempt from income taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, provided such organizations Lobby only with their own employees and the employees who Lobby work a minimum of 1,000 hours per year for the organization.
- (c) In the event any substantial change or addition occurs with respect to the information required by this division to be contained in the registration statement, including the addition or subtraction of a client, an amendment to the statement shall be Filed with the Clerk within 14 days.
- (d) All reports Filed pursuant to this Section and Section 2-634 shall be Filed electronically and under oath, according to rules and regulations to be established by the Clerk, as of the date such rules and regulations are promulgated. Reports Filed electronically shall be timely if Filed by 11:59 p.m. on the established due date. The Clerk shall post the Filed reports on the Clerk's website within three business days from the established due date.
- (e) Within 48 hours of being retained, hired or employed by any Person to Lobby on that Person's behalf, a registrant shall amend the report Filed pursuant to this Section to include the information required under [subsection] (a)(3) of this Section, as to the Person newly retaining the registrant. The Clerk shall post the Filed amended reports on the Clerk's website within three business days from the established due date.

(Ord. No. 93-O-22, § A(5), 6-22-1993; Ord. No. 08-O-09, 1-9-2008; Ord. No. 09-O-21, 3-17-2009; Ord. No. 09-O-73, 10-6-2009; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-634. - Reports.

- (a) Every Person so registering shall, so long as the Person's activity continues, File with the Clerk between January 1 and January 20 and between July 1 and July 20, two reports under oath: a Lobbying Expenditure Report and a Lobbying Activity Report.
  - (1) The Lobbying Expenditure Report shall state all Expenditures made by the Person to or for the benefit of a County Officials or County Employee, notwithstanding whether Lobbying was occurring at the time of the Expenditure, during the previous six months. The report shall show in detail the County Officials or County Employee to whom or for whose benefit such Expenditure were made. Expenditures made by the registrant shall include Expenditures made by the registrant's employer and/or contractor for whom the registrant is performing Lobbying services unless the employer or contractor independently registers and reports pursuant to Section 2-631(3). If the registrant Lobbies for more than one Person, they shall identify which Expenditures were made on behalf of each such Person. Any Expenditure over \$100.00 shall disclose not only the amount of the Expenditure and to whom or for whose benefit such Expenditure was made, but also disclose the date of the Expenditure, the use and purpose for

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which the Expenditure was made, and the County Matter in connection with which the Expenditure was made. If the registrant made no such Expenditures during the reporting periods herein described, the registrant shall File and state herein that the

- (2) The Lobbying Activity Report shall include all Lobbying contacts made with County Officials, County Appointees or County Employees. For each such contact, the report shall list the date of the contact, the County Official, County Appointees or County Employee with whom the Lobbying contact was made, the entity on whose behalf the Lobbying contact was made, the location of the Lobbying contact, the subject matter of the Lobbying contact, including any County contact, involved in the contact. If the Lobbyist has a relationship by birth or marriage with the County Official, County Appointee or County Employee Lobbied, such relationship shall be stated. If the registrant made no such Lobbying contacts during the reporting periods herein described, the registrant shall File and state herein that the registrant had no such contacts.
- (b) Individual Expenditures which aggregate \$100.00 or less otherwise required to be reported under [subsection] (a)(1) of this Section may be reported in aggregate amounts without detail, provided that any Gift solicited by a County Official, County Appointee or County Employee must be reported in detail as set forth above, additionally listing the recipient of such Gift.
- (c) Reports required under [subsection] (a)(1) of this Section shall include for each client the following aggregate Expenditures attributable to Lobbying activities, to be identified as such: advertising and publications; lodging and travel that are not reported by another registrant; educational or advocacy expenses; honoraria; meals, beverages, and entertainment expenses; Political Contributions; and Gift.
- (d) With respect to each client of the registrant, the registrant shall report the following in the Lobbying Expenditure Report:
  - (1) The name, business and permanent address and nature of business of the client and any other business entities on whose behalf Lobbying was performed for the same Compensation.
  - (2) A statement of the amount of Compensation.
  - (3) The name of each Person Lobbied and a brief description of the County Matter involved.
- (e) A registrant who terminates employment or duties which required registration under this division shall give the Clerk, within 30 days after the date of such termination, written notice of such termination and shall include therewith a Lobbying Activity Report and a Lobbying Expenditure Report described herein, covering the period of time since the Filing of the registrant's last report to the date of termination of employment, and a report of the Lobbying activity described herein, covering the period of time since the Filing of the registrant's last report to the date of termination of employment. The Clerk shall post the Filed reports on the Clerk's website within three business days from the established due

date. Such notices and reports shall be final and relieve such registrant of further reporting under this division unless and until the Person later takes employment or assumes duties that require to again register under this division.

- (f) Failure without just cause to File any such report within the time designated herein or the knowing reporting of false or incomplete information shall constitute a violation of this division. In addition to other penalties provided in this division, any Person Filing a late report under this Section shall be assessed a late filing fee as set out in Section 32-1 per day the report is late, payable to the Clerk upon Filing. Any registrant who is required to File a report hereunder may effect one 30-day extension of time for Filing the report by Filing with the Clerk, not less than ten days before the date on which the statement is due, a declaration of intention to defer the Filing of the report. The Filing of such declaration shall suspend application of the penalty provisions contained herein for the duration of the extension. Failure to File by the extended date shall constitute a violation of this division and shall subject the registrant to the penalty described herein.

(Ord. No. 93-O-22, § A(6), 6-22-1993; Ord. No. 09-O-73, 10-6-2009; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-635. - Duties of clerk.

- (a) It shall be the duty of the Clerk to provide appropriate forms for the registration and reporting of information required by this division and to keep such registrations and reports on file in the Clerk's office for ten years from the date of Filing. The Clerk shall also maintain a listing of registered Lobbyists. Such records and listing shall be considered public information and open to public inspection during normal business hours.
- (b) The Clerk shall administer this division. The Clerk shall review all forms that are Filed for completeness and potential violations including late Filed reports. The Clerk shall report all violations and suspected violations of this division to the Cook County Board of Ethics and any other appropriate law enforcement agency.

(Ord. No. 93-O-22, § A(7), 6-22-1993; Ord. No. 06-O-19, 5-3-2006; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-636. - Restricted activities.

- (a) No Person shall retain or employ another to Lobby for Compensation contingent in whole or in part upon the result obtained or the final disposition of any matter, and no Person shall accept any such employment or render any such service for contingent Compensation.
- (b) No County Official, County Appointee or County Employee may solicit any personal Gift from a registrant. Personal Gifts do not include contributions required to be reported under Article 9 of the Election Code or under Federal election law, or contributions solicited for bona fide humanitarian, civic, charitable or religious organizations, events or efforts.

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- (c) No registrant shall knowingly make a false statement in any report required to be Filed under this division.
- (d) No County Official or County Employee shall receive Compensation for Lobbying County government other than from the County.
- (e) No registered Lobbyist retained on behalf of a client seeking action on a County Matter shall attempt to communicate with a member of the Cook County Board of Commissioners for purposes of discussing a County Matter in the Cook County Board Room, commonly known as Room 569 of the County Building, while said member is present on the floor of the Cook County Board Room and during such time as an active session of the Cook County Board of Commissioners, or any committee thereof, is convened and in progress.

(Ord. No. 93-O-22, § A(8), 6-22-1993; Ord. No. 14-5299, 10-8-2014; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-637. - Enforcement, penalties and procedures.

- (a) Any Person Filing a late registration or report after January 31 (in the case of reports due after by January 20) or after July 31 (in the case of reports due by July 20) shall be assessed a fine of \$100.00 per day late. The Clerk shall collect the fine from any Person Filing a late registration or report.
- (b)
  - (1) In addition to the penalties provided for in Subsection (a) of this Section, any Person violating any subsection of this division other than Section 2-637(a) shall be fined not less than \$1,001 and not more than \$10,000 by the Board of Ethics and shall be prohibited for a period of three years from engaging, directly or indirectly, in any Lobbying activities.
  - (2) The Board may impose a fine of less than \$1,001 when the Person determined to be subject to a penalty under Subsection (b)(1) of this Section demonstrates that such fine is unreasonable under the circumstances or would result in an unjust financial hardship to that Person.
- (c) The determination as to the imposition of penalties under this Section shall be made pursuant to Chapter 2, Article IX, Administrative Hearings. The Executive Director of the Board of Ethics shall have the authority to issue notices of violation to enforce provisions of this division, as applicable, and institute enforcement proceedings under Chapter 2, Article IX. Persons Filing a late report or registration may contest that violation pursuant to this Section. The Cook County Clerk shall publicly display notice of any violations of this Section.

(Ord. No. 93-O-22, § A(9), 6-22-1993; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-638. - Right to petition County Officials.

Nothing in this Division shall be construed to infringe in any way the right to lawfully petition a Commissioner or any other County Official as guaranteed by the constitutions of the United States and the State.

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(Ord. No. 93-O-22, § A(10), 6-22-1993; Ord. No. 14-3306, 11-19-2014; Ord. No. 17-1165, 3-8-2017.)

Sec. 2-639. - Venue.

Any violation of this division may be prosecuted in the Cook County Department of Administrative Hearings pursuant to Chapter 2, Article IX, of the Cook County Code, by the State's Attorney of Cook County.

(Ord. No. 93-O-22, § A(11), 6-22-1993; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-640. - Lobbyist Ethics Education Seminar.

Each Lobbyist shall attend an online ethics education seminar offered on a regular basis by the Cook County Clerk and the Board of Ethics within 120 days of registering as a Lobbyist pursuant to Section 2-633. The seminar shall educate individuals regarding their duties and responsibilities under this article. The seminar shall also include a program of sexual harassment training in the context of lobbying activities and review pertinent provisions under Chapter 42, Article II of the Cook County Code, the Cook County Human Rights Ordinance. The Clerk may partner with the Cook County Department of Human Rights and Ethics and/or other applicable Department of Cook County to facilitate the provision of the sexual harassment training. For purposes of this section, the definition of "sexual harassment" includes, but is not limited to, the definitions set forth in Section 44-53 of the Cook County Code of Ordinances.

(Ord. No. 14-3306, 11-19-2014; Ord. No. 17-6302, 12-13-2017.)

Sec. 2-641. - Prohibition from lobbying activities.

The President of the Cook County Board, the Assessor, the Clerk of the Circuit Court, the County Clerk, the Board of Review, the Recorder of Deeds, the Sheriff, the State's Attorney, the Public Defender, the Treasurer and any Cook County Commissioner are hereby prohibited, while serving in such elected or appointed position, from Lobbying (as defined under Chapter 2, Section 2-622 of Article I of the County Code).

(Ord. No. 10-O-52, 9-15-2010; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-642. - Duty to display lobbyist registration identification.

All registered Lobbyists shall display Lobbyist registration identification while engaging in all Lobbyist activities on County premises. The Cook County Clerk shall issue the Lobbyist registration identification to Lobbyists.

(Ord. No. 14-3306, 11-19-2014.)

Secs. 2-646—2-670. - Reserved.

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ARTICLE VII. - ETHICS

Style Definition ... [1]

DIVISION 1. - GENERALLY

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Secs. 2-541—2-559. - Reserved.

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DIVISION 2. - CODE OF ETHICAL CONDUCT

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Subdivision I. - In General

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Sec. 2-560. - Short title.

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This division shall be known and may be cited as the "Cook County Ethics Ordinance."

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Sec. 2-561. - Purpose.

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(a) — The purpose of this division is to establish the code of conduct for every Official, Board or Commission Appointee, and Employee of the County. All Officials, Board or Commission Appointees, and Employees of the County shall:

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- (1) Owe a fiduciary duty to the County;
- (2) Treat members of the public with respect and be responsive and forthcoming in meeting their requests for information;
- (3) Protect the County's interests when contracting for outside services;
- (4) Put forth honest effort in the performance of their duties;
- (5) Conduct business on behalf of the County in a financially responsible manner;
- (6) Protect and conserve County property and assets, and use County property and assets for authorized purposes or activities;
- (7) Adhere to all applicable laws and regulations; and
- (8) Report any violations, suspected violations, or other misconduct under this article to the Board of Ethics or the Office of the Independent Inspector General of Cook County in a timely manner.

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(b) — This division is not intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the County, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

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(Ord. No. 16-5326, 10-5-2016; Ord. No. 16-5767, 12-14-2016.)

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Sec. 2-562. - Definitions.

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The following words, terms and phrases, when used in this division shall have the meanings ascribed to them in this Section, except where the context clearly indicates a different meaning:

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*Absolutely necessary* means that another means of identification, such as employee identification number, cannot be substituted for the social security number without frustrating the purpose of the request.

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*Agency* means the County Board, any committee or other subdivision thereof, any County department or other administrative unit, commission, board or other division of the government of the County.

*Board or Commission Appointee* means all individuals appointed by the President to any Boards or Commissions created by State Statute or County Ordinance that require the approval, confirmation or advice and consent of the County Board.

*Board or Commission* means any Board or Commission created under County Ordinance or State Statute whose members are appointed by the President subject to the approval, confirmation or advice and consent of the County Board.

*Board of Ethics* means the County Board of Ethics, as defined in Section 2-591.

*Campaign for elective office* means any activity in furtherance of an effort to influence the selection, nomination, election, or appointment of any individual to any Federal, State, or local public office or office in a political organization, or the selection, nomination, or election of Presidential or Vice-Presidential electors, but does not include activities:

- (1) *—* Relating to the support or opposition of any executive, legislative, or administrative action;
- (2) *—* Relating to collective bargaining; or
- (3) *—* That are otherwise in furtherance of the person's official duties.

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*Candidate* means any person who has filed nominating papers or petitions for nomination or election to an elected office, or who has been appointed to fill a vacancy in nomination, and who remains eligible for placement on the ballot at either a general primary election or general election or who has raised or expended money in pursuit of elected office.

*Collective bargaining* has the same meaning as that term is defined in Section 3 of the Illinois Public Labor Relations Act (5 ILCS 5/1-3).

*Compensated time* means any time worked by or credited to an employee that counts toward any minimum work time requirement imposed as a condition of employment but does not include any designated holidays or any period when the employee is on a leave of absence.

*Compensation* means money, thing of value or other pecuniary benefit received or to be received in return for, or as reimbursement for, services rendered or to be rendered.

*Compensatory time off* means authorized time off earned by or awarded to an employee to compensate in whole or in part for time worked in excess of the minimum work time required of that employee as a condition of employment.

*Confidential Information* means any information that may be exempt from disclosure under the Illinois Freedom of Information Act, codified at 5 ILCS 140/1, et seq. or required to be held confidential by agreement.

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*Contract management authority* means personal involvement in or direct supervisory responsibility for the formation or execution of a County contract, including without limitation the preparation of specifications, evaluation of bids or proposals, negotiation of contract terms or supervision of performance.

*Contribution* has the same meaning as that term is defined in Section 9-1.4 of the Election Code (10 ILCS 5/9-1.4).

*County* means the County of Cook, Illinois and all government agencies of the County.

*Done Business* or *Doing Business* means any one or any combination of sales, purchases, leases or contracts to, from or with the County or any County agency in excess of \$10,000.00 during the previous four years.

*Economic interest* means any interest valued or capable of valuation in monetary terms; provided that economic interest is subject to the same exclusion as financial interest.

*Employee* means an individual employed by the County whether part-time or full-time or by a contract of employment. The term "employee" shall include individuals employed by County Officers as referenced in Article VII, Section 4 of the Illinois Constitution. The term "employee" shall not include judges of election.

~~*Familial relationship* shall mean a person who is related to an official, employee, board or commissioner appointee as spouse or any of the following, whether by blood, marriage or adoption: as parent, father, mother, son, daughter, brother, sister, uncle, aunt, great aunt, great uncle, first cousin, nephew, niece, husband, wife, grandfather, grandmother, grandson, granddaughter, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half-brother, half-sister, and including the father, mother, grandfather, or grandmother of the official's, employee's, board or commissioner appointee's spouse, domestic partner, civil union partner, parent, child, brother, sister, aunt, uncle, niece, nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half-brother or half-sister.~~

*Financial interest* means any of the following:

~~*Familial relationship* shall mean a natural person who is a Relative of an Employee, Official, or Board or Commission Appointee.~~

~~*Financial interest* means any of the following:~~

(1) ~~Any interest as a result of which the owner currently received or is entitled to receive in the future more than \$1,200.00 per year.~~

(2) ~~Any interest with a cost or present value of \$5,000.00 or more.~~

(3) ~~Any interest representing more than ten percent of a corporation, partnership, sole proprietorship, firm, enterprise, franchise, organization, holding company, joint stock company, receivership, trust, or any legal entity organized for profit provided, however, the term "financial interest" shall not include any of the following:~~

~~(a) a. Any ownership through purchase at fair market value of inheritance of less than one percent of the shares of a corporation, or any value of or dividends of such shares, if such shares are registered on a securities exchange pursuant to the Securities Exchange Act of 1934 (15 U.S.C. § 78a et seq.);~~

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~~b.~~ The authorized compensation paid to an official or employee for his or her office or employment, or the authorized compensation paid to a board or commission appointee for his or her office or employment;

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~~(b) e.~~ Any economic benefit provided equally to all residents of the County;

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~~(c) d.~~ A time or demand deposit in a financial institution;

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~~(d) e.~~ An endowment or insurance policy or annuity contract purchased from an insurance company;

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~~(e) f.~~ Any accrued pension rights in the County fund; or

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~~(f) g.~~ With respect to a mutual fund, the individual securities of other instruments owned by the mutual fund.

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*Gift* means any gratuity, discount, entertainment, hospitality, loan, forbearance, or other tangible or intangible item having monetary value including, but not limited to, cash, food and drink, and honoraria for speaking engagements related to or attributable to government employment or the official position of an official, board or commission appointee or employee that is given without fair-market consideration. Gifts shall exclude:

(1) Anything for which the official, board or commission appointee or employee or his or her spouse, domestic partner, civil union partner or immediate family member living with him or her pays the market value;

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(2) Any opportunities, benefits, or services that are available to an official, board or commission appointee or employee or his or her spouse, domestic partner, civil union partner or immediate family member living with him or her on the same terms and conditions as the general public; and

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(3) Any contribution that is lawfully made and reported under the Election Code or under this article associated with political fundraising for a political organization, political committee, or candidate for elected office.

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Immediate Family Member means an individual's spouse, domestic partner, civil union partner, parent, step-parent, child, step-child, sibling, step-sibling, dependent, or any family member living in the same household as such individual.

Leave of absence means any period during which an employee does not receive compensation for employment, service credit towards pension benefits, and health insurance benefits paid for by the employer.

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*Legislative action* means the introduction, sponsorship, consideration, debate, amendment, passage, defeat, approval, veto or other official action or nonaction on any ordinance, resolution, motion, order, appointment, application or other matter pending or proposed in the County Board or any committee or subcommittee thereof.

Lobby or Lobbying means to, for Compensation and on behalf of another Person, attempt to influence an Employee, Official, or Board or Commission Appointee with respect to any County matter, including, but not limited to:

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- (1) A bond inducement ordinance;
- (2) A zoning matter;
- (3) A concession agreement;
- (4) The creation of a tax increment financing district;
- (5) The establishment of a Class 6(b), Class 7(a), Class 7(b), Class 9, Class C, Class L, Class S and/or any Cook County property tax classification established under Section 74-63 of the Cook County Code;
- (6) The introduction, passage or other action to be taken on an ordinance, resolution, motion, order, appointment or other matter before the Board;
- (7) The preparation of contract specifications;
- (8) The solicitation, award or administration of a contract;
- (9) The award or administration of a grant, loan, or other agreement involving the disbursement of public monies; or
- (10) Any other determination made by a County Official, Appointee or Employee with respect to the procurement of goods, services or construction.

Provided, however, that solely submitting an application for a county permit or license or responding to a county request for proposals or qualifications is not an attempt to influence a County Official, Appointee or Employee with respect to a County Matter.

Lobbyist means any Person who engages in Lobbying as defined in Section 2-562.

Official means any individual elected County official (or appointed to County office) official, regardless of whether the individual official is compensated.

*Person* means any individual, entity, corporation, partnership, firm, association, organization, unincorporated organization, company, recipient of County funds, union, trust, trustee, legal representative, legal entity, estate, as well as any corporate affiliate of any of the foregoing, and whether or not operated for profit.

*Political activity* means any activity in support of or in connection with any campaign for elective office or any political organization or political committee, but does not include activities relating to the support or opposition of any executive, legislative or administrative action; if in furtherance of the person's official duties, relating to bargaining; or that are otherwise in furtherance of the person's official duties.

*Political committee* incorporates the definition of that that term as it appears in the Illinois Election Code, 10 ILCS 5/9-1.8(a).

*Political organization* means a party, committee, association, fund, or other organization (whether or not incorporated) that is required to file a statement of organization with the State Board of Elections or a county clerk under Section 9.3 of the Election Code (10 ILCS 5/9-3), but only with regard to those activities that require filing with the State Board of Elections or a county clerk.

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*Prohibited political activity* means:

- (1) Preparing for, organizing, or participating in any political meeting, political rally, political demonstration, or other political event.
- (2) Soliciting contributions, including, but not limited to, the purchase of, selling, distributing, or receiving payment for tickets for any political fund-raiser, political meeting, or other political event.
- (3) Soliciting, planning the solicitation of, or preparing any document or report regarding anything of value intended as a campaign contribution.
- (4) Planning, conducting, or participating in a public opinion poll in connection with a campaign for elective office or on behalf of a political organization or political committee for political purposes or for or against any referendum question.
- (5) Surveying or gathering information from potential or actual voters in an election to determine probable vote outcome in connection with a campaign for elective office or on behalf of a political organization or political committee for political purposes or for or against any referendum question.
- (6) Assisting at the polls on behalf of any political organization, political committee or candidate for elective office or for or against any referendum question.
- (7) Soliciting votes on behalf of a candidate for elective office or a political organization or political committee or for or against any referendum questions or helping in an effort to get voters to the polls.
- (8) Initiating for circulation, preparing, circulating, reviewing, or filing any petition on behalf of a candidate for elective office or for or against any referendum question.
- (9) Making contributions on behalf of any candidate for elective office in that capacity or in connection with a campaign for elective office.
- (10) Preparing or reviewing responses to candidate questionnaires in connection with a campaign for elective office or on behalf of a political organization or political committee for political purposes.
- (11) Distributing, preparing for distribution, or mailing campaign literature, campaign signs, or other campaign material on behalf of any candidate for elective office or for or against any referendum question.
- (12) Campaigning for any elective office or for or against any referendum question.
- (13) Managing or working on a campaign for elective office or for or against any referendum question.
- (14) Serving as a delegate, alternate, or proxy to a political party convention.
- (15) Participating in any recount or challenge to the outcome of any election.

*Prohibited source* means any person or entity who:

- (1) Is seeking official action:

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- (a) ~~By the official, board or commission appointee; or~~
- (b) ~~In the case of an employee, by the employee or by the official, County agency, board or commission or other employee directing the employee.~~
- (2) ~~Does business or seeks to do business:~~
  - (a) ~~With the official, board or commission appointee; or~~
  - (b) ~~In the case of an employee, with the employee or with the official, County agency, board or commission or other employee directing the employee.~~
- (3) ~~Conducts activities regulated:~~
  - (a) ~~By the official, board or commission appointee; or~~
  - (b) ~~In the case of an employee, by the official, County agency, board or commission or other employee directing the employee.~~
- (4) ~~Has interests that may be substantially affected by the performance or nonperformance of the official duties of the official, board or commission appointee or employee; or~~
- (5) ~~Is registered or required to be registered with the County pursuant to the Cook County Lobbyist Ordinance, except that an entity not otherwise a prohibited source does not become a prohibited source merely because a registered lobbyist is one of its members or serves on its board of directors.~~

*Publicly post or publicly display* means to intentionally communicate or otherwise intentionally make available to the general public.

*Relative* means an Official, Employee or Board or Commission Appointee's spouse, domestic partner, civil-union partner, parent, step-parent, child, step-child, grandparent, sibling, step-sibling, meaning those people related to the, official, employee or board or commission appointee as father, mother, son, daughter, brother, sister, uncle, aunt, great aunt, great uncle, first cousin, nephew, niece, husband, wife, grandfather, grandmother, grandson, granddaughter, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half-brother, or half-sister, and all including the father, mother, grandfather, or grandmother, of the preceding forms of relation to the Official, Employee, official's, employee's, board or Board commission appointee's spouse, domestic partner, or Commission Appointee's spouse, domestic partner, or civil union partner (if any).

*Seeking to do business* means taking action within the past six months to obtain a contract or business with the County when, if such action were successful, it would result in the person doing business with the County. This includes responding to a request for qualifications, joining a pool of pre-qualified vendors or any similar procurement vehicle. The presumption that such a person is "seeking to do business" will last for the same duration as any RFQ, pre-qualified pool or similar procurement vehicle remains valid for all qualified respondents.

*Sexual Harassment* means any unwelcome sexual advances or requests for sexual favors or conduct of a sexual nature when (i) submission to such conduct is made either explicitly or implicitly a term of condition of an individual's employment or of any governmental decision; or (ii) submission to or rejection of such conduct by an

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individual is used as the basis for any employment or other governmental decision affecting the individual or the individual's client or employer; or (iii) such conduct has the purpose or effect of substantially interfering with an individual's work performance or creating an intimidating, hostile or offensive working environment.

*Single candidacy* means the time period during which a candidate is seeking office with primary election and general election being separate candidacies.

*Statement* means the disclosure of economic interest form required to be filed by the Illinois Governmental Ethics Act (5 ILCS 420/4A-101 et seq.).

(Ord. No. 93-O-29, art. 1, 8-3-1993; Ord. No. 99-O-18, art. I, 6-22-1999; Ord. No. 04-O-18, art. I, 5-18-2004; Ord. No. 11-O-76, 9-7-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-563. - Applicability.

(a) This Ordinance shall apply to all ~~Employees, Officials, Board officials, board or Commission Appointees~~ ~~commission appointees~~ and employees of the County.

(b) This Article shall further apply, where applicable, to:

- (1) ~~Relatives and Immediate Family Members of Employees, Officials, and Board or Commission Appointees;~~
- (2) ~~Persons Doing Business, Seeking to Do Business, or who have Done Business with~~ Cook County;
- (3) ~~Prohibited Sources;~~
- (4) ~~Candidates for County office; and~~
- (5) ~~Persons that have been issued a subpoena pursuant to, as defined in, Section 2-591(g).~~

(c)

- (1) ~~Employees must agree to adhere to this Ordinance prior to the commencement of their County employment, or within a reasonable time thereafter.~~
- (2) ~~Officials must agree to adhere to this Ordinance within a reasonable time following their election to County office.~~
- (3) ~~562. Board or Commission Appointees~~ ~~commission appointees~~ must agree to adhere to the Cook County Ethics Ordinance in order to be eligible for appointment to any ~~Board or Commission~~ ~~board or commission as defined in Section 2-562. This Article shall further apply where applicable to persons doing business, seeking to do business or who have done business with Cook County, prohibited sources and candidates for County office.~~

(Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Secs. 2-564—2-570. - Reserved.

Subdivision II. - Code of Ethical Conduct

Sec. 2-571. - Fiduciary duty.

(a) ~~—~~Officials and ~~Employees~~ ~~employees~~ shall at all times in the performance of their public duties owe a fiduciary duty to the County. Board or ~~Commission Appointees~~ ~~commission appointees~~ appointed under County Ordinance owe a fiduciary duty to the County in the performance of their public duties and appointed board or commission appointees

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appointed under State Statute owe a fiduciary duty to the members of the public for which they have been appointed to serve.

(b) ~~The fiduciary duty owed by officials, Board or commission appointees and employees shall include, but not be limited to,~~ the following duties:

- (1) ~~Avoiding—Avoid~~ the appearance of impropriety;
- (2) ~~Complying—Comply~~ with laws and regulations by avoiding both the violation of any applicable law or regulation and the creation of a strong risk of a violation of any other law or regulation;
- (3) ~~Conserving—Conserve~~ County property and assets and ~~avoidingavoid~~ their wasteful use;
- (4) ~~Conducting—Conduct~~ business on behalf of the County in a financially responsible manner;
- (5) ~~Protecting—Protect~~ the County's best interests when contracting for outside services; and
- (6) ~~Reporting—Report~~ any violations, suspected violations, or other misconduct under this article to the Board of Ethics or the Office of the Independent Inspector ~~General~~ of Cook County in a timely manner.

(Ord. No. 93-O-29, § 2.1, 8-3-1993; Ord. No. 99-O-18, § 2.1, 6-22-1999; Ord. No. 04-O-18, § 2.1, 5-18-2004; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016; Ord. No. 16-5767, 12-14-2016.)

~~Sec. 2-572. —Conflicts of Interest—Improper influence.~~

(a) ~~No Employee, Official, official, or Board or Commission Appointeeemployee shall make, participate in making, or preside over makingin any way attempt to use their official position to influence any County governmental decision or action, including decisions or actions on any Board or Commission Agenda Item or proposed Board or Commission Agenda Item:~~

- (1) ~~in which the Employee, Official, or Board or Commission Appointee official-or-employee knows, has reason to know, or should know that the Employee, Official, or Board or Commission Appointee, or their Immediate Family Memberofficial-or-employee has any Economic Interest in the effect of such action or decision that iseconomic interest distinguishable from such effect onthat of the general public of the County;~~
- (2) ~~from which the Employee, Official, or Board or Commission Appointee knows, has reason to know, or should know that:~~
  - (A) ~~the Employee, Official, or Board or Commission Appointee, or their spouse, domestic partner, civil union partner, dependent, or immediate family member living them has derived any income or compensation during the preceding twelve (12) months; or~~

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(B) the Employee, Official, or Board or Commission Appointee, or their spouse, domestic partner, civil union partner, dependent, or immediate family member living with them, reasonably expects to derive any income or compensation during the following twelve (12) months;

(3) which creates, obtains, acquires, transfers, sells, or disposes of any privilege, right, option, license, or similar legal entitlement on behalf of (A) the Employee, Official, or Board or Commission Appointee; (B) the Employee, Official, or Board or Commission Appointee's Immediate Family Member; or (C) any Person from whom the Employee, Official, or Board or Commission Appointee has received compensation during the previous twelve (12) months or expects reasonably to receive compensation during the following twelve (12) months; or

(4) which risks creating an appearance of impropriety or impairing the Employee, Official, or Board or Commission Appointee's capacity to satisfy another fiduciary duty pursuant to Section 2-571 of this Ordinance.

(b) Any Employee who has a conflict of interest as described by Subsection (a) of this Section shall advise his or her supervisor of the conflict or potential conflict. The immediate supervisor shall either:

(1) Assign the matter to another employee; or

(2) Refer the matter to the Board, which shall require the employee to (A) eliminate the Economic Interest giving rise to the conflict and (B) demonstrate that such Economic Interest has been eliminated before authorizing the Employee continue to participate in the matter.

The Cook County Board of Ethics is hereby authorized to promulgate rules and procedures for enforcement of this Subsection (b), including the forms of proof sufficient to establish that an Employee has eliminated an Economic Interest giving rise to a conflict of interest.

(c) Notwithstanding any action taken pursuant to Subsection (b) of this Section, any Employee, Official, or Board or Commission Appointee who has a conflict of interest or a potential conflict of interest pursuant to Subsection (a) of this Section shall disclose the conflict of interest in writing the nature and extent of the interest to the Cook County Board of Ethics as soon as the employee, board or commission appointee or official becomes aware of such conflict and shall not take any action or make any decisions regarding that particular matter. A Cook County Board Commissioner shall vote present on any matter in which they may have a conflict of interest and shall also notify the Cook County Board of Ethics of such interest within 24 hours of introduction of any ordinance, resolution, contract, order or other matter before the Cook County Board of Commissioners, or as soon thereafter as the Commissioner is or should be aware of such conflict of interest. The Board of Ethics shall make all disclosures available for public inspection and copying immediately upon request.

~~No official or employee shall make, participate in making or in any way attempt to use their official position to influence any County governmental decision or action, including decisions or actions on any Cook County Board Agenda Item, in exchange for or in consideration of the employment of said official's or employee's relatives, domestic partner or civil union partner by any other official or employee.~~

~~(e) No board or commission appointee shall make, participate in making or in any way attempt to use his or her official position to influence any decision or action by the Board or Commission to which~~

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~~they are appointed in which the board or commission appointee knows, has reason to know or should know that the board or commission appointee has any economic interest distinguishable from that of the general public served by the board or commission to which they are appointed.~~

~~(Ord. No. 93-O-29, § 2.2, 8-3-1993; Ord. No. 99-O-18, § 2.2, 6-22-1999; Ord. No. 04-O-18, § 2.2, 5-18-2004; Ord. No. 11-O-41, 3-15-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)~~

~~Sec. 2-573. - Dual employment.~~

~~(a) — No Employee, Official, official or Board or Commission Appointee employee shall accept or maintain concurrent employment that is likely to:~~

- ~~(1) impair his or her ability to perform County duties and responsibilities;~~
- ~~(2) which will impair his or her independence of judgment in the exercise of official duties; or~~
- ~~(3) create an appearance of impropriety or impair his or her capacity to satisfy another fiduciary duty pursuant to Section 2-571 of this Ordinance.~~

~~(b) — No official or employee shall accept other employment which will impair his or her ability to perform County duties and responsibilities.~~

~~(c) — The Ethics Director and Chief of the Bureau of Human Resources shall be authorized to promulgate rules regarding dual employment; said rules shall be applicable to all offices and agencies under the jurisdiction of the Ethics Ordinance. Any deviation from said rules shall be approved by the Ethics Director.~~

~~(Ord. No. 93-O-29, § 2.3, 8-3-1993; Ord. No. 99-O-18, § 2.3, 6-22-1999; Ord. No. 04-O-18, § 2.3, 5-18-2004; Ord. No. 16-5326, 10-5-2016.)~~

~~Sec. 2-574. - Receiving and soliciting gifts and favors.~~

~~(a) Gift ban.~~

- ~~(1) Except as otherwise provided in subsection (b) of this section, no County Employee, Official, official, board or Board commission appointee or Commission Appointee employee shall directly or indirectly:
  - ~~(A) intentionally solicit any Gift for him or herself, or any Relative;~~
  - ~~(B) or knowingly accept any Gift given anonymously;~~
  - ~~(C) accept any Gift of cash, gift card(s), or cash equivalent for him or herself, or any Relative; or~~
  - ~~(D) accept any Gift from a Prohibited Source for him or herself, or any Relative, unless the total value of all gifts given by the Prohibited Source to the Employee, Official, or Board or Commission Appointee, or Employee, Official, or Board or Commission Appointee's Relative, domestic partner any prohibited source or in violation of any Federal or State statute, rule, or regulation or any County ordinance, rule or regulation. This ban applies to and includes spouse, domestic partners, civil union partner, or partners of, and immediate family living with him or her amounts to no more than \$100.00 during the preceding 365 days.~~~~
- ~~(2) The prohibitions in Subsection (a)(1) of this Section apply with equal force to any Immediate Family Member of any County Employee, Official, and Board or Commission Appointee.~~

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~~(3) the official, board or commission appointee or employee. No Person subject to this ordinance prohibited source shall make or intentionally offer to or make a Gift gift that violates this Section.~~

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~~(b) Exceptions. The restriction in Subsection (a) of this Section does not apply to the following:~~

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~~(1) Reasonable expenses provided by a Prohibited Source associated with (1) Educational materials, events training, conferences and missions related to educating and training County Employees, Officials, and Board or Commission Appointees. This exception may be further defined by rules adopted by the Board of Ethics.~~

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~~(2) Reasonable Travel expenses provided by for a Prohibited Source associated with travelling meeting to and from meetings where discuss County Employees, Officials, and Board or Commission Appointees represent the County, Board or Commission on official business. This exception may be further defined by rules adopted by the Board of Ethics.~~

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~~(3) Gift from relative. A Gift given to gift from a prohibited source who is also a relative of the recipient Employee, Official, official, board or Board commission appointee or Commission Appointee, employee or the recipient Employee, Official, his or Board her spouse or Commission Appointee's Immediate Family Member by a Relative that would otherwise violate Subsection (a) of this Section. immediate family member living with him or her.~~

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~~(4) Gift on basis of personal friendship. A Gift given by A gift from a prohibited source who is also a personal friend to of the recipient Employee, Official, official, board or Board commission appointee or Commission Appointee, employee or the recipient Employee, Official, his or Board her spouse or Commission Appointee's Immediate Family Member, unless:~~

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~~(A) immediate family member living with him or her, provided by that individual on the basis of the personal friend is a Prohibited Source; or~~

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~~(B) friendship unless the recipient Employee, Official, official, board or Board commission appointee or Commission Appointee, or the recipient Employee, Official, or Board or Commission Appointee's Immediate Family Member believes or employee has reason to believe that, under the circumstances, the gift was given provided because of the recipient Employee, Official, or Board or Commission Appointee's official position or employment of the official, board or commission appointee or employee and not because of the personal friendship with the giver. In determining whether a gift is provided on the basis of personal friendship, Board of Ethics shall consider the circumstances under which the gift was offered, such as:~~

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~~(5) a. The history of the relationship between the individual giving the gift and the recipient of the gift, including any previous exchange of gifts between those individuals, particularly the history of the relationship pre-dating the official position or employment of the official, board or commission appointee or employee;~~

~~b. Whether to the actual knowledge of the official, board or commission appointee or employee the individual who gave the gift personally paid for the gift or sought a tax deduction or business reimbursement for the gift; and~~

~~c. Whether to the actual knowledge of the official, board or commission appointee or employee the individual who gave the gift also at the same time gave the same or similar~~

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gifts to other officials or employees or their spouses, domestic partners, civil union partners or immediate family members living with them.

(5) ~~Food or refreshments not exceeding \$75.00 per person. Food or refreshments not exceeding \$75.00 per person in value on a single calendar day; provided that the food or refreshments are consumed on the premises from which they were purchased or prepared or catered. For purposes of this subsection, the term "catered" means food or refreshments that are purchased ready to eat and delivered by any means.~~

(6) ~~Food, refreshments, lodging, transportation, etc., from outside employment. Food, refreshments, lodging, transportation, and other benefits resulting from the outside business or employment activities (or outside activities that are not connected to the duties of the Employee, Official, official, board or Boardcommission appointee or Commission Appointeeemployee as an office holder or employee) of the Employee, Official, official, board or Boardcommission appointee or Commission Appointeeemployee, or the spouse, domestic partner, or civil union partner of the Employee, Official, official, board or Boardcommission appointee or Commission Appointeeemployee, if the benefits have not been offered or enhanced because of the official position or employment of the Employee, Official, official, board or Boardcommission appointee or Commission Appointeeemployee, and are customarily provided to others in similar circumstances.~~

(6) ~~7) Intra-governmental and inter-governmental gifts. For the purpose of this article "intra-governmental gift" means any gift given to an Employee, Official, official or Boardemployee of a County agency, or Commission Appointeeboard or commission appointee, from another Employee, Official, or Boardofficial or Commission Appointeeemployee of the same County agency or board or commission; and "inter-governmental gift" means any gift given to an Employee, Official, official, board or Boardcommission appointee or Commission Appointeeemployee of a County agency or department by an Employee, Official, official, board or Boardcommission appointee or Commission Appointeeemployee of another County agency or department, of a State of Illinois agency, of a Federal agency, or of any governmental entity.~~

(7) ~~8) Bequests. Bequests, inheritances, and other transfers at death.~~

(9) ~~Items valued at less than \$100.00. Any item or items from any one prohibited source during any calendar year having a cumulative total value of less than \$100.00.~~

Each of the exceptions listed in this subsection is independent of one another.

(c) ~~An Employee, Official, official, board or Boardcommission appointee or Commission Appointeeemployee does not violate this Ordinancearticle if, the official, board or commission appointee or employee promptly takes reasonable action (within 30 days of taking action that violates Subsection (a) of this Section, the Employee, Official, or Board or Commission Appointee:~~

(1) ~~Returns(receipt) to return, the Giftprohibited gift to its source;~~

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(2) Gives or gives the Giftgift or an amount equal to its value to an entity that is exempt from income taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as now or hereafter amended, renumbered, or succeeded; or

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(3) In the case of a violation of Subsection (a)(1)(D) of this Section, gives an amount equal to the excess of the annual dollar-value limit established in that Subsection to an entityappropriate charity that is exempt from income taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as now or hereafter amended, renumbered, or succeeded.

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(d) Gifts which have a value of greater than \$100.00 (or a series of gifts with an aggregate value of greater than \$100.00 from one prohibited source during any calendar year) received by any official, board or commission appointee or employee from a prohibited source shall be disclosed to the Board of Ethics by the recipient within ten business days of receipt. The disclosure shall include the name and government title of the recipient; the name, address, occupation and employer of the donor; a description of the gift and its value; and the intended use or disposition of the gift. This requirement applies with equal force to gifts that are excepted from the restriction in Subsection (a) of this section and gifts for which an official, board or commission appointee or employee has taken an action under Subsection (c) of this section in order to remain compliant with Subsection (a).

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(e) Any and all gifts having a value greater than \$100.00 and received by an official, board or commission appointee or employee for participating in speaking engagements, lectures, debates or organized discussion forums arising out of his or her County employment shall be disclosed to the Board of Ethics within ten business days of receipt.

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(Ord. No. 93-O-29, § 2.4, 8-3-1993; Ord. No. 99-O-18, § 2.4, 6-22-1999; Ord. No. 99-O-22, § 1(2.4(b)(3)), 7-8-1999; Ord. No. 04-O-18, § 2.4, 5-18-2004; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-575. ~~Sexual Harassment.~~

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(a) No Employee, Official, or Board or Commission Appointee shall (1) engage in or encourage behavior constituting sexual harassment; or (2) knowingly permit or condone behavior constituting sexual harassment by or against another Person when that Employee, Official, or Board or Commission Appointee supervises, manages, or controls the activity the other Person.

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(b) The requirement in Subsection (a) of this Section does not limit or replace any other applicable law, rule, regulation, process, or policy regarding such conduct.

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~~Reserved.~~

Sec. 2-576. ~~County-owned property and resources.~~

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No Employee, Official, official, board or Boardcommission appointee, or Commission Appointeeemployee shall engage in or permit the unauthorized use of property and resources that areis owned or leased by the County or the Board or Commission to which they are

appointed. Such property and resources shall only be used for official County or Board or Commission business.

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(Ord. No. 93-O-29, § 2.6, 8-3-1993; Ord. No. 99-O-18, § 2.6, 6-22-1999; Ord. No. 04-O-18, § 2.5, 5-18-2004; Ord. No. 12-O-38, 7-24-2012.)

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Sec. 2-577. - Use or disclosure of confidential information.

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No Employee, Official, official, board or Board commission appointee or Commission Appointee shall use or disclose confidential information gained in the course of or by reason of his or her position or employment, other than:

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- (1) In the performance of his or her official duties;
- (2) As may be required by law; or
- (3) As permitted in Section 2-584 or other whistleblower law.

(Ord. No. 93-O-29, § 2.7, 8-3-1993; Ord. No. 99-O-18, § 2.7, 6-22-1999; Ord. No. 04-O-18, § 2.6, 5-18-2004; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-578. - ~~Improper Influence - Conflicts of interest.~~

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(a) ~~No Employee, Official, official or Board or Commission Appointee~~ shall use ~~make, or participate in any way attempt to use their official position to influence making, any County governmental decision and no board or commission appointee shall make, or action, including decisions participate in making, any board or actions on commission decision with respect to any Board matter in which the official, board or Commission Agenda Item~~ commission appointee or proposed Board employee, or Commission Agenda Items:

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(1) which the Employee, Official, spouse, or Board dependent, domestic partner, or Commission Appointee knows civil union partner of the official or employee, has reason to know, or should know that they or any Immediate Family Member has any Economic Interest in the effect of such action or decision that is economic interest distinguishable from such effect on that of the general public. For purposes of the County;

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(2) from which this Section, the Employee, Official, or Board or Commission Appointee knows, has reason to know, or should know that (A) the they, or their Immediate Family Member has derived any income or compensation during the preceding twelve (12) months; or (B) from which they or their Immediate Family Member reasonably expects to derive any income or compensation during the following twelve (12) months; term "dependent" shall have the same meaning as provided in the U.S. Internal Revenue Code, as amended.

(b) ~~Any employee who has a conflict of interest as described by Subsection (a) of this Section shall advise his or her supervisor of the conflict or potential conflict. The immediate supervisor shall either:~~

- (1) ~~Assign the matter to another employee; or~~

~~(2) Require the employee to eliminate the economic interest giving rise to the conflict and only thereafter shall the employee continue to participate in the matter.~~

~~(c) Any official, board or commission appointee or employee who has a conflict of interest as described by Subsection (a) of this Section shall disclose the conflict of interest in writing the nature and extent of the interest to the Cook County Board of Ethics as soon as the employee, board or commission appointee or official becomes aware of such conflict and shall not take any action or make any decisions regarding that particular matter. A Cook County Board Commissioner shall vote present on any matter in which they may have a conflict of interest and shall also notify the Cook County Board of Ethics of such interest within 24 hours of introduction of any ordinance, resolution, contract, order or other matter before the Cook County Board of Commissioners, or as soon thereafter as the Commissioner is or should be aware of such conflict of interest. The Board of Ethics shall make all disclosures available for public inspection and copying immediately upon request.~~ (3) which creates, obtains, acquires, transfers, sells, or disposes of any privilege, right, option, license, or similar legal entitlement on behalf of (A) the Employee, Official, or Board or Commission Appointee; (B) the Employee, Official, or Board or Commission Appointee's Immediate Family Member; or (C) any Person from whom the Employee, Official, or Board or Commission Appointee has received Compensation during the previous twelve (12) months or expects reasonably to receive compensation during the following twelve (12) months; or

(4) which risks creating an appearance of impropriety or impairing the Employee, Official, or Board or Commission Appointee's capacity to satisfy another fiduciary duty pursuant to Section 2-571 of this Ordinance.

(Ord. No. 93-O-29, § 2.8, 8-3-1993; Ord. No. 99-O-18, § 2.8, 6-22-1999; Ord. No. 04-O-18, § 2.7, 5-18-2004; Ord. No. 11-O-36, 3-15-2011; Ord. No. 11-O-44, 4-20-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-579. - Representation of other persons.

(a) ~~No Official, elected official, or Employee, employee, may represent, or have a direct or indirect, economic interest in the representation of, any Person, person, other himself, herself, or than the County:~~

(1) in ~~any~~ formal or informal proceeding or transaction before any County agency in which the agency's action or nonaction is of a ~~non-ministerial nature or may result in an adverse effect on County revenue, finances, or the health, safety, welfare or relative tax burden of any County resident;~~ or ~~nonministerial nature and no board or commission appointee may represent, or have an economic interest in the representation of any person other than the board or commission in a formal or informal proceeding or transaction before said board or commission to which the board or commission appointee is a party in which the board or commission's action or nonaction is of a nonministerial nature.~~

(2)(b) ~~No elected official or employee may have an economic interest in the representation of any person, in any judicial or quasi-judicial proceeding before any administrative agency or court in which the County is a party and that person's interest is adverse to that of the~~

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~~County directly adverse to that of the County and no board or commission appointee may have an economic interest in the representation of any person in any judicial or quasi-judicial proceeding before any administrative agency or court in which said board or commission to which the board or commission appointee is a party and that person's interest is directly adverse to that of the board or commission.~~

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~~(b) e) No Board or Commission Appointee may represent, or have a direct or indirect economic interest in the representation of, any Person, other than himself, herself, the County, or board or commission on which the appointee serves:~~

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~~(1) in any formal or informal proceeding or transaction before the board or commission to which the Board or Commission Appointee is a party in which the board or commission's action or nonaction is of a non-ministerial nature or may result in an adverse effect on County revenues, finances, or the health, safety, welfare or relative tax burden of any County resident; or~~

~~(2) in any judicial or quasi-judicial proceeding before any administrative agency or court in which the board or commission to which the Board or Commission Appointee is a party is a party and that person's interest is adverse to that of the County;~~

~~(c) No Board or Commission Appointee appointed official may represent any person in the circumstances described in Subsection (a) or (b) of this Section unless the matter is wholly unrelated to the Board or Commission Appointee's appointed official's County duties and responsibilities and risk creating an appearance of impropriety or impairing the Board or Commission Appointee's capacity to satisfy another fiduciary duty pursuant to Section 2-571 no board or commission appointee may represent any person in the circumstances described in Subsection (a) or (b) of this Ordinance.~~

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~~(d) No official or employee may derive any income, compensation, or other tangible benefit from providing opinion evidence as an expert in a Section unless the matter adverse is wholly unrelated to any County agency, the board, or commission in any judicial or quasi-judicial proceeding before any administrative agency or court appointee's duties and responsibilities.~~

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~~(e) (d) For purposes of this Section:~~

~~(1) the term "economic interest" shall not include the Economic or Financial Interest an Employee, Official, or Board or Commission Appointee's interest of the spouse, domestic partner, or civil union partner which of an official, board or commission appointee or employee which interest is related to the independent occupation, profession, or employment of the spouse, domestic partner, or civil union partner; and,~~

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~~(2) the terms "represent" and "representation" mean appearances, whether for compensation or not, in which the Employee, Official, or Board or Commission Appointee advocates for private Economic or Financial interests.~~

~~(f) Nothing in this Section shall preclude:~~

~~(1) any Employee from performing the duties of his employment;~~

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- (2) any Official or Board or Commission Appointee from appearing without compensation before any County agency, board, commission on behalf of his constituents in the ordinary course of his duties as an elected official; and
- (3) any Employee, Official, or Board or Commission Appointee from representing him or herself; his or her spouse, domestic partner, or civil union partner; or his or her minor child or dependent in any judicial or quasi-judicial proceeding before any administrative agency or court.

(Ord. No. 93-O-29, § 2.9, 8-3-1993; Ord. No. 99-O-18, § 2.9, 6-22-1999; Ord. No. 04-O-18, § 2.8, 5-18-2004; Ord. No. 11-O-40, 3-15-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-580. - Post-employment restrictions.

- (a) ~~No former Employee, Official, official or Board or Commission Appointee~~ ~~employee~~ shall assist or represent any ~~Person~~ ~~person~~ other than the County in any judicial or administrative proceeding involving the County if the ~~former Official, Employee, or Board or Commission Appointee~~ ~~official or employee~~ was counsel of record or participated personally and substantially in the proceeding during his or her term of office or employment.
- (b) ~~No former Employee, Official, official or Board or Commission Appointee~~ ~~employee~~ shall assist or represent any ~~Person~~ ~~person~~ in any business transaction involving the County, if the ~~Official, Employee, or Board or Commission Appointee~~ ~~official or employee~~ participated personally and substantially in that transaction during his or her term of office or employment.
- (c) ~~Except as provided in subsection (f) of this Section—No former official or employee may, for a period of 364 calendar days following one year after the termination of his or her term of office or employment, no former Employee, Official, or Board or Commission Appointee shall knowingly accept employment or receive any form of compensation, consideration, or fees for services from any Person an employer, if the Official, Employee, or Board or Commission Appointee~~ ~~employee or official, during the year immediately preceding termination of County employment and on behalf of the County, participated personally and substantially participated in the decision to award a contract with a value of more than \$10,000.00 on behalf of the County, or County contracts with a cumulative value of more than, over, \$10,000.00 on behalf of the County, to the Person offering such employment, compensation, consideration, or fees for services during the 364 calendar days prior to the termination of the Official, Employee, or Board or Commission Appointee's term of office or employment.~~ ~~a person,~~
- (d) ~~Except as provided in subsection (f) of this Section—No former official or employee may, for a period of 364 calendar days following one year after the termination of his or her term of office or employment, no former Employee, Official, or Board or Commission Appointee may Lobby any County agency, board, commission, Official, Board or Commission Appointee, or Employee~~ ~~knowingly and for compensation lobby any County official or employee on behalf of any other Person~~ ~~entity,~~
- (e) ~~Except as provided in subsection (f) of this Section—No former official or employee may, for a period of 364 calendar days following one year after the termination of his or her term of~~

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office or employment, no former Employee, Official, or Board or Commission Appointee may contract with the County to provide more than \$5,000.00 in compensated professional services to the County or otherwise receive more than \$5,000.00 in compensation for his or her labor from the County.

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(f) The requirements of this Section may be waived by the Board of Ethics upon a showing by the former official or employee that such waiver would not result in a conflict of interest, disclosure of the County's confidential information or the appearance of impropriety. Additional requirements for waiver may be further defined by rules adopted by the Board of Ethics, as authorized.

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(g) This Section applies only to persons who terminate an affected position on or after the effective date of this article.

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(Ord. No. 93-O-29, § 2.10, 8-3-1993; Ord. No. 99-O-18, § 2.10, 6-22-1999; Ord. No. 04-O-18, § 2.9, 5-18-2004; Ord. No. 09-O-73, 10-6-2009; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-581. - Interest in county business or board or commission business.

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(a) No ~~Official~~ elected official or ~~Employee~~ employee shall have a financial interest in:

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(1) his or her own name or in the name of any other person in, any contract, work or business of the County; or

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(2) the disposition, or in the case of a board or commission appointee in any contract, work or business of the board or commission to which they are appointed or that which the board or commission approves. No elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the sale, or transfer, of any article, property, or legal entitlement, whenever the expense, price, or consideration of such transaction the contract, work, business or sale is paid with funds belonging to or administered by the County.

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(b) No Board, or Commission Appointee shall have a financial interest in:

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(1) any contract, work the case of a board, or business of the Board or Commission to which they are appointed; or

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(2) commission appointee in the disposition, sale, or transfer of any article, property, or legal entitlement, whenever the expense, price, or consideration of such transaction the contract, work, business or sale is paid with funds belonging to or administered by the board or commission to which they are appointed.

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(c) No Board or Commission Appointee shall have a financial interest or engage in a transaction described in Subsection (a) of this Section unless the matter is wholly unrelated to the Appointee's duties and responsibilities.

(d) Unless sold pursuant to a process of competitive bidding following public notice, no Employee, Official, or Board of Commission Appointee shall have a financial interest in the purchase of any property that:

(1) Belongs to the County;

(2) Is sold for taxes or assessments; or

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(3) Is sold by virtue of legal process at the suit of the County.

(e) Compensation for property taken pursuant to the County's eminent domain power shall not constitute a financial interest within the meaning of this Section. Unless sold pursuant to a process of competitive bidding following public notice, no elected official or employee shall have a financial interest in the purchase of any property that:

(1) Belongs to the County;

(2) Is sold for taxes or assessments; or

(3) Is sold by virtue of legal process at the suit of the County.

(b) No appointed official shall engage in a transaction described in Subsection (a) of this Section unless the matter is wholly unrelated to the appointed official's County duties and responsibilities and no board or commission appointee shall engage in a transaction described in Subsection (a) of this Section unless the matter is wholly unrelated to the board or commission appointee's board or commission duties and responsibilities.

(c) For purposes of this Section, the term "financial interest" shall not include the interest of the spouse, domestic partner, or civil union partner of an official or employee which interest is related to the independent occupation, profession or employment of the spouse, domestic partner, or civil union partner.

(Ord. No. 93-O-29, § 2.11, 8-3-1993; Ord. No. 99-O-18, § 2.11, 6-22-1999; Ord. No. 04-O-18, § 2.10, 5-18-2004; Ord. No. 11-O-37, 3-15-2011; Ord. No. 12-O-38, 7-24-2012.)

Sec. 2-582. - Employment of relatives.

(a) No Employee, Official, official, board or Board commission appointee or Commission Appointee shall:

(1) hire a Relative, on behalf of the County, or a County Board or Commission;

(2) participate in a hiring decision respecting a Relative on behalf of the County, or a County Board or Commission;

(3) hire or participate in a hiring decision respecting any Person on behalf of the County, or a County Board or Commission, in exchange or consideration of another Person's employment of such Employee, Official, or Board or Commission Appointee's Relative;  
or

(4) induce, or attempt to induce, another Employee, Official, or Board or Commission Appointee to hire, influence a hiring decision, or attempt to influence a hiring decision on behalf of a Relative of such Employee, Official, or Board or Commission Appointee.

(b) No Employee, Official, or Board or Commission Appointee, or shall directly supervise employ, or participate advocate for employment, in the evaluation of the work or job performance of any Relative of agency over which such Employee, Official, official, board or commission appointee or Board employee either serves or Commission Appointee unless:

(1) su whoever which he or she exercises authority, supervision or control, any person who is required by law;

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~~(2) the Board provides written approval relative of such arrangement in advance; said official or~~

~~(3) the supervisory arrangement at issue predates the enactment of this amendment to this ordinance.~~

~~(c)~~

~~(1) No Employee, Official, employee, or Board in exchange for, or Commission Appointee, on behalf in consideration of the employment of any County Agency, Board or Commission said official's or employee's relatives, by any other official, board or commission appointee or employee.~~

~~(b) No official or employee, on behalf of any County agency, shall participate in a decision as whether to contract with any Person person, with whom or in which the Employee, Official, or Board or Commission Appointee official or employee, knows, has reason to know, or should know that the Employee, Official, or Board or Commission Appointee, or any Relative of the Employee, Official, or Board or Commission a relative of that official or employee has a financial or economic interest distinguishable from the members of the general public of the County.~~

~~(2) No Employee, Official, official, or Board or Commission Appointee employee shall have or exercise contract management authority over where any Person that relative of the Employee, Official, official, or Board employee is employed by, or Commission Appointee knows, has reason to know, or should know employs or contracts with the Employee, Official, or Board or Commission Appointee or any Relative of the Employee, Official, or Board or Commission Appointee persons doing County work over which the County official or employee has or exercises contract management authority. No board or commission appointee, on behalf of the board or commission to which they are appointed, shall participate in a decision whether to contract with any person with whom or in which the board or commission appointee knows that a relative of that board or commission appointee has a financial interest. No board or commission appointee shall exercise contract management authority where any relative of the board or commission appointee is employed by or has contracts with persons doing board or commission work over which the board or commission appointee has or exercises contract management authority.~~

~~(d)~~

~~(1) (c) Any Person person, or Persons Doing persons doing business with the County shall be required to disclose to the Board of Ethics, upon execution of a contract with the County, of Cook, to disclose to the Board of Ethics, the existence of Familial Relationships such Person knows, has reason to know, or should know that they familial relationships they may have with all Persons currently persons, defined as a relative in Section 2-562, holding an elected office associated with the County of Cook, (i.e., Cook County Commissioners, the Commissioner, Cook County Board President, the Cook County Sheriff, the Cook County State's Attorney, the Cook County Assessor, the Cook County Clerk, the Cook County Clerk of the Circuit Court, the Cook County Recorder of Deeds, the Cook County Treasurer, and members of the Cook County Board of Review).~~

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(2) Any Person Doing business with the County that is also an entity, whether organized for profit or not, shall also disclose to the Board of Ethics, upon execution of a contract with the County, the existence of Familial Relationships between:

(A) all Persons currently holding an elected office associated with the County of Cook (i.e., Cook County Commissioners, the Cook County Board President, the Cook County Sheriff, the ~~and~~ Cook County State's Attorney, the Cook County Assessor, the Cook County Clerk, the Cook County Clerk of the Circuit Court, the Cook County Recorder of Deeds, the Cook County Treasurer, and members of the Cook County Board of Review).

(B). In the event that a business entity is contracted to do business with the County of Cook, the disclosure shall apply to the following persons who are employed by the business entity, or who were employed by the business entity during the 12-month period immediately preceding the date of execution of the contract:

- (1) —All natural persons who are designated as the entity's board of directors;
- (2) —All officers of the business entity;
- (3) —All persons who are responsible for the general administration or operation of the entity;
- (4) —All agents who are duly authorized to execute documents related to Cook County business on behalf of the business entity;
- (5) —All employees who are directly engaged in contractual work with the County on behalf of the business entity;

(C) that are or should be known to any of the Persons referred to in Subsection (d)(1)(B), or that any of those Persons have reason to know exist.

Any Person that is Doing business with the County in accordance with this Subsection (d) at the time this Ordinance is amended shall be required to file such disclosure no later than 60 days after the effective date of this Section, unless such disclosure would duplicate exactly the Person's most recent previous disclosure pursuant the previously effective Subsection of this Division requiring such disclosure.

(e) —All Persons ~~persons~~ registered as a Lobbyist pursuant to Section 2-631 of this Ordinance ~~lobbyist with the County of Cook~~ shall be required, upon filing with the Cook County Clerk, to disclose to the Board of Ethics the existence of any Familial Relationships ~~familial relationships~~, they may have with all Persons ~~currently~~ ~~persons~~, as defined as a relative in Section 2-562, holding an elected office associated with the County of Cook (i.e., Cook County Commissioners, ~~the~~ ~~Commissioner~~, Cook County Board President, ~~the~~ Cook County Sheriff, ~~the~~ Cook County State's Attorney, ~~the~~ Cook County Assessor, ~~the~~ Cook County Clerk, ~~the~~ Cook County Clerk of the Circuit Court, ~~the~~ Cook County Recorder of Deeds, ~~the~~ Cook County Treasurer, and ~~members of the~~ Cook County Board of Review). ~~Commissioner~~.

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~~(1) e) The disclosure required by this Section shall be filed by January 201 of each calendar year, or within thirty (30) days of any Relative's election to an office referenced in this Subsection (e) or the execution of any contract, agreement, or lease.~~

~~(f) (f) Any person or business entity who is doing business with the county in accordance with Subsection (d), at the time this Ordinance is passed shall be required to file such disclosure no later than 60 days after the effective date of this Section.~~

~~(g) In addition to other penalties provided in this division, any person filing a late disclosure statement under Subsection (e)(1) of this Section shall be assessed a late penalty in the amount of \$100.00 per day that the disclosure is late, payable to the Cook County Board of Ethics upon filing. Any person filing an annual disclosure statement after January 31 shall be subject to the late penalty.~~

~~(g) (h) In addition to the penalties provided for in subsection (g) of this Section, any person or business entity convicted of a violation of any provision of this division may be prohibited for a period of three years from the date of the conviction from engaging, directly or indirectly, in any business with the County of Cook. Any person or business entity who is found by the Board of Ethics to have knowingly or willfully filed a false, misleading or incomplete disclosure shall be prohibited for a period of three years from the date of the conviction from engaging, directly or indirectly, in any business with the County of Cook.~~

~~(i) The Cook County Board of Ethics is hereby authorized to promulgate rules and procedures for the reporting and enforcement of this Section, including the designation of a disclosure form to be used by all persons required to file under this Section.~~

~~(Ord. No. 93-O-29, § 2.12, 8-3-1993; Ord. No. 99-O-18, § 2.12, 6-22-1999; Ord. No. 04-O-18, § 2.11, 5-18-2004; Ord. No. 09-O-68, 9-16-2009; Ord. No. 11-O-38, 3-15-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 16-5326, 10-5-2016.)~~

~~Sec. 2-583. - Political activity.~~

~~(a) No official, board or commission appointee or employee shall compel, coerce or intimidate any County official or employee to make or refrain from making any political contribution. No official, board or commission appointee shall directly solicit any political contribution from his or her employees, the spouses, domestic partners or civil union partners of or immediate family living with his or her employees. Nothing in this subsection shall be construed to prevent any official, board or commission appointee or employee from voluntarily making a contribution or from receiving a voluntary contribution.~~

~~(b) No employee with contract management authority or board or commission appointee of a County official whose board or commission appointment provides for compensation and requires confirmation by the Cook County Board of Commissioners shall serve on the political committee of any elected official or candidate for County office.~~

~~(c) County employees shall not intentionally perform any prohibited political activity during any compensated time (other than vacation, personal, or compensatory time off). County employees or officials shall not intentionally misappropriate any County property or resources by engaging in any prohibited political activity for the benefit of any campaign for elective office or any political organization or political committee.~~

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(d) — At no time shall any official or employee intentionally misappropriate the services of any County employee and at no time shall any board or commission appointee misappropriate the services of any board or commission employee by requiring that employee to perform any prohibited political activity:

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(1) — As part of that employee's County duties or in the case of a board or commission, as part of that employee's board or commission duties;

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(2) — As a condition of County employment or in the case of a board or commission, as a condition of board or commission employment; or during any time off that is compensated by the County or board or commission (such as vacation, personal, or compensatory time off).

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(e) — A County employee or board or commission appointee shall not be required at any time to participate in any prohibited political activity in consideration for that employee being awarded any additional compensation or employee benefit, in the form of a salary adjustment, bonus, compensatory time off, continued employment, or otherwise.

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(f) — A County employee or board or commission appointee shall not be awarded any additional compensation or employee benefit, in the form of a salary adjustment, bonus, compensatory time off, continued employment, or otherwise, in consideration for the employee's participation in any prohibited political activity.

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(g) — Nothing in this Section prohibits activities that are otherwise appropriate for a County employee or board or commission appointee to engage in on a voluntary basis as permitted by law.

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(Ord. No. 93-O-29, § 2.13, 8-3-1993; Ord. No. 99-O-18, § 2.13, 6-22-1999; Ord. No. 04-O-18, § 2.12, 5-18-2004; Ord. No. 10-O-56, 9-15-2010; Ord. No. 11-O-39, 3-15-2011; Ord. No. 12-O-38, 7-24-2012; Ord. No. 13-O-49, 10-2-2013; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-584. - Whistleblower protection.

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(a) — No complainant, or employee acting on behalf of a complainant, shall be discharged, threatened or otherwise discriminated against regarding compensation, terms, conditions, location or privileges of employment because:

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(1) — The complainant or employee acting on behalf of the complainant reports or is about to report, verbally or in writing, a violation or suspected violation of this Ordinance; or

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(2) — The complainant or employee acting on behalf of the complainant is requested to participate in an investigation, hearing or inquiry held pursuant to this Ordinance, or in any related court action.

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(b) — This Section shall not apply to a complainant, or employee acting on behalf of a complainant, who knowingly makes a false report.

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(Ord. No. 93-O-29, § 2.14, 8-3-1993; Ord. No. 99-O-18, § 2.14, 6-22-1999; Ord. No. 04-O-18, § 2.13, 5-18-2004.)

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Sec. 2-585. - Limitations of contributions to candidates and elected officials.

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(a) — No ~~Person~~ ~~person~~ shall make contributions exceeding the limits established by the Election Code, 10 ILCS 5/9-1 *et seq.*, when making contributions to any of the following elected ~~Official~~ ~~officials~~, or ~~Candidate~~ ~~candidates~~, for such office: ~~Cook County Commissioners, the Cook County Board President, the~~ Cook County ~~Sheriff, the~~ ~~Commissioner~~, Cook County State's Attorney, ~~the Cook County Assessor, the Cook County Clerk, the~~ Cook County Clerk of the Circuit Court, ~~the~~ Cook County ~~Recorder of Deeds, the~~ ~~Assessor~~, Cook County Treasurer, ~~and members of the~~ Cook County Board of Review, ~~Commissioner, Cook County Sheriff, Cook County Clerk, and Cook County Recorder of Deeds~~ except as otherwise provided in Section 2-585.

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(b) — No person who ~~(1) Does Business~~ ~~does business~~ with the County, ~~or who has Done Business~~ ~~done business~~ with the County ~~during~~ ~~within~~ the preceding four years, ~~or is Seeking~~ ~~seeking to Do Business~~ ~~do business~~ with the County; ~~(2) or is a Person~~ ~~person~~ required to register as a ~~Lobbyist~~ ~~lobbyist~~ with the County ~~pursuant to Division 3; (3); or who~~ has sought official action by the County ~~during~~ ~~within~~ the preceding four years; ~~(4); or is an officer, director or partner of a firm, contracted by the County to act as financial counsel, bond counsel, underwriter's~~ ~~sunderwriter's~~ counsel, legal counsel, or financial manager for the issuance of any bond and directly working on said bond transaction; or ~~(5) firms~~ ~~firm~~, officers, directors, or partners, contracted by the County to provide financial audits of County finances and directly working on said contract, shall make contributions in an aggregate amount exceeding \$750.00:

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(1) — ~~To any Candidate~~ ~~candidate~~ for County office or elected County ~~Official~~ ~~official~~ during a ~~Single Candidacy; single candidacy; or~~

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(2) — ~~To any elected Official~~ ~~official~~ of the government of the County during any nonelection year of his or her term.

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(3) — ~~To any local, state, or federal political committee that is established in support of, a specific candidate for County office or an elected County Official.~~

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~~official.~~ The combined effect of ~~the~~ ~~these~~ provisions ~~in Subsections (a) and (b) of this Section~~ is intended to permit total contribution up to, but not exceeding, \$1,500.00 in a year in which a candidacy occurs. A year, for purposes of this Section, is from January 1 to December 31 of each year.

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(c) For purposes of Subsection (b) of this Section, an entity and its subsidiaries, parent company or otherwise affiliated companies (including a separate segregated fund of an entity, as that term is described in 52 U.S.C. § 30118(b)), shall be considered a single person. The political contributions of an entity's employees, officers, directors, and/or partners for which they are reimbursed by the entity or its affiliates shall also be considered those of a single person. However, nothing in this provision shall be construed to prohibit such an employee, officer, director or partner from making a political contribution for which he is not reimbursed by a person with whom he or she is affiliated.

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(d) —Effective October 2, 2013, no Board or commission appointee of a County official whose board or commission appointment provides for compensation and requires confirmation by the Cook County Board of Commissioners shall make contributions in an aggregate amount exceeding \$750.00:

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(1) —To the appointing County official or the Members of the Cook County Board of Commissioners during a single candidacy; or

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(2) —To the appointing County official or the Members of the Cook County Board of Commissioners during any nonelection year of his or her term.

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The combined effect of these provisions is intended to permit total contribution up to, but not exceeding, \$1,500.00 in a year in which a candidacy occurs. A year, for purposes of this Section, is from January 1 to December 31 of each year.

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(e) —Any contributions made under this Section shall be reported as required by the Election Code, 10 ILCS 5/1-1 et seq.

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(f) —Any candidate for any County office or any current elected official in Cook County government shall return contributions found in excess of the limitations set forth in this Section within 30 days of notification from the Board of Ethics. Failure to return contributions within 30 days shall be a violation of this Section and subject to fines under Section 2-602.

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(Ord. No. 93-O-29, § 2.15, 8-3-1993; Ord. No. 99-O-18, § 2.415, 6-22-1999; Ord. No. 04-O-18, § 2.14, 5-18-2004; Ord. No. 07-O-49, 7-10-2007; Ord. No. 09-O-60, 9-1-2009; Ord. No. 10-O-54, 9-15-2010; Ord. No. 10-O-55, 9-15-2010; Ord. No. 11-O-62, 6-14-2011; Ord. No. 13-O-49, 10-2-2013; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-586. - Newsletters, brochures, public service announcements, and promotional materials.

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(a) Except as provided by Subsection (b), —County funds and resources may not be used by any Employee, Official, or Board or Commission Appointee who is a candidate in a general or primary election, elected County official, to print, mail, or pay for the printing or mailing of, or mail any newsletters, or brochures, or informational documents bearing the proper name, nickname, or any image of the Employee, Official, or Board or Commission Appointee during the periods between:

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(1) period beginning January 1 of the year of a general primary election and ending the day after such general primary election; and

(2) during a period beginning September 1 of the year of a general election and ending the day after such general election if the elected County official is a candidate in such primary or general election. A County elected official may not mail, during the period beginning January 1 of the year of a general primary election and ending the day after such general primary election and during a period beginning September 1 of the year of a general election and ending the day after such general election, any newsletters or brochures that were printed at any time using County funds or resources if the elected County official is a candidate in such primary or general election.

(b) Subsection (a) of this —This Section shall not apply to:



(1) responses to unsolicited inquiries from constituents and other interested parties; or

(2) any informational brochures that are solely related to and accompany any mailing of a property tax bill, notice of property tax assessment, or notice of voter registration or polling place information, or to a brochure mailed to a constituent in response to that constituent's inquiry concerning the needs of that constituent or questions raised by that constituent.

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(c) No public service announcement or advertisement that is on behalf of any County administered program and contains the proper name, image, or voice of any elected County official shall be broadcast or aired on radio or television or printed in a commercial newspaper or commercial magazine at any time on or after the date that the elected County official files nominating papers for any elected office, and ending the day after such general election if the elected County official is a candidate in such primary or general election.

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(1) This Section shall not apply to any information available or posted by the County or any elected County official on any Cook County owned website or County owned social media account.

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(d) The proper name or image of any elected official may not appear on any promotional materials or items if designed, paid for, produced, and/or distributed with public funds or resources, including, but not limited to:

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- (1) Bumper stickers;
- (2) Commercial billboards;
- (3) Lapel pins or buttons;
- (4) Magnets;
- (5) Sports teams;
- (6) Items of clothing or apparel or
- (7) Stickers.

(Ord. No. 04-O-18, § 2.15, 5-18-2004; Ord. No. 09-O-39, 7-2-2009; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-587. - Ethics Education Seminar.

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(a) Each elected official, members of each elected official's personal staff, each employee holding a senior administrative service position with the County, and each board or commission appointee, upon due notice, shall attend an ethics education seminar offered on a regular basis by the Board of Ethics within 120 days of the effective date of this amendatory ordinance or within 120 days of becoming an elected official, becoming a member of an elected official's personal staff, becoming a board or commission appointee or holding a senior administrative service position with the County (or as soon thereafter as an ethics education seminar is offered by the Board of Ethics) and every four years

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thereafter. The seminar shall educate persons as to their duties and responsibilities under this article.

(b) — The Board of Ethics shall define "senior administrative service position" by rule.

(Ord. No. 04-O-18, § 2.16, 5-18-2004; Ord. No. 12-O-38, 7-24-2012.)

Sec. 2-588. - Identity protection policy.

(a) — *Prohibited activities.* No officer or employee of the County shall do any of the following:

- (1) — Publicly post or publicly display in any manner an individual's social security number;
- (2) — Print an individual's social security on any card required for the individual to access products or services provided by the person or entity;
- (3) — Require an individual to transmit his or her social security number over the internet, unless the connection is secure or the social security number is encrypted;
- (4) — Print an individual's social security number on any materials that are mailed to the individual, through the U.S. Postal Service, any private mail service, electronic mail, or any similar method of delivery, unless State or Federal law requires the social security number to be on the document to be mailed. A social security number that may be permissibly mailed under this Section may not be printed, in whole or in part, on a postcard or other mailer that does not require an envelope or be visible on an envelope without the envelope having been opened;
- (5) — Collect, use or disclose a social security number from an individual, unless (i) required to do so under State or Federal law, rules or regulations, or the collection, use or disclosure of the social security number is absolutely necessary for the performance of that agency's duties and responsibilities; (ii) the need and purpose for the social security number is documented before collection of the social security number; and (iii) the social security number collected is relevant to the documented need and purpose;
- (6) — Require an individual to use his or her social security number to access an internet website;
- (7) — Use the social security number for any purpose other than the purpose for which it was collected.

(b) — *Exceptions.* The prohibitions in subsection (a) do not apply in the following circumstances:

- (1) — The disclosure of social security numbers to agents, employees, contractors or subcontractors of the County or disclosure to another governmental entity or its agents, employees, contractors or subcontractors if disclosure is absolutely necessary in order for the entity to perform its duties and responsibilities;

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(2) — The disclosure of social security numbers pursuant to a court order, warrant or subpoena;

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(3) — The collection, use or disclosure of social security numbers if it is absolutely necessary in order to ensure the safety of County employees, persons committed to correctional facilities, local jails and other law enforcement facilities or retention centers; and all persons working in or visiting a County facility;

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(4) — The collection, use or disclosure of social security numbers if it is absolutely necessary for internal verification or administrative purposes;

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(5) — The collection or use of social security numbers to investigate or prevent fraud, to conduct background checks, to collect a debt, to obtain a credit report from a consumer reporting agency under the federal Fair Credit Reporting Act, to undertake any permissible purpose that is enumerated under the federal Gramm Leach Bliley Act, or to locate a missing person, a lost relative, or a person who is due a benefit such as a pension benefit or an unclaimed property benefit.

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(c) — *Conflicts.* Any standards of the County for the collection, use or disclosure of social security numbers that are stricter than the standards under this policy with respect to the protection of those social security numbers shall control in the event of any conflict with the provisions of this policy.

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(d) — *Public Inspection and Copying of Documents.* Notwithstanding any other provision of this policy to the contrary, all officers of the County must comply with the provisions of any other State law with respect to allowing the public inspection and copying of information or documents containing all or any portion of an individual's social security number. All officers and employees of the County must redact social security numbers from the information or documents before allowing the public inspection or copying of the information or documents.

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(e) — *Applicability.*

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(1) — This policy does not apply to the collection, use or disclosure of a social security number as required by State or Federal law, rule or regulation.

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(2) — This policy does not apply to documents that are required to be open to the public under any State or Federal law, rule or regulation, applicable case law, Supreme Court Rule, or the Constitution of the State of Illinois.

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(f) — *Compliance with Federal Law.* If a Federal law takes effect requiring any Federal agency to establish a national unique patient health identifier program, the County shall follow that law.

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(g) — *Embedded Social Security Numbers.* No officer or employee of the County may encode or embed a social security number in or on a card or document including, but not limited to, using a bar code, chip, magnetic strip, RFID technology, or other technology, in place of removing the social security number as required by this policy.

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(h) *Identity Protection Requirements.* In accordance with the requirements of the Identity Protection Act, 5 ILCS 179/1 et seq.

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(1) All officers, employees, and agents of the County identified as having access to social security numbers in the course of performing their duties shall be trained to protect the confidentiality of social security numbers. Training shall include instructions on the proper handling of information that contains social security numbers from the time of collection to the time of destruction of such information.

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(2) Only employees who are required to use or handle information or documents that contain social security numbers shall have access to such information or documents.

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(3) Social security numbers requested from an individual in permissible circumstances shall be provided in a manner that makes the social security number easily redacted if required to be released as part of a public records request.

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(4) When collecting a social security number in permissible circumstances or upon request by the individual, a statement of the purpose(s) for which the County is collecting and using the social security number shall be provided.

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(5) The County shall advise its employees of the existence of this policy and make a copy of the policy available to each employee, and shall also make this privacy policy available to any member of the public upon request. If the County amends this privacy policy, then the County shall also advise its employees of the existence of the amended policy and make a copy of the amended policy available to each employee.

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(i) *Implementation.* All County agencies shall adopt procedures to come into compliance with this policy by the effective date of this Ordinance.

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(j) *Violation.* Any person who intentionally violates the prohibitions in Subsection (a) of this policy is guilty of a Class B misdemeanor. Suspected violations shall be reported to the Board of Ethics or the Office of the Independent Inspector General as soon as practicable, and they will refer violations to the State's Attorney when appropriate for prosecution.

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(k) *Supersede.* This policy does not supersede any more restrictive law, rule or regulation regarding the collection, use or disclosure of social security numbers. However, all ordinances or parts of ordinances in conflict with the provisions of this Ordinance are hereby repealed insofar as they conflict herewith.

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(Ord. No. 11-O-76, 9-7-2011.)

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Subdivision III. - Financial Disclosure

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Sec. 2-589. - Generally.

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(a) Officers and ~~Employees~~ employees shall file verified written statements of economic interests as required by the Illinois Governmental Ethics Act, 5 ILCS 420/4A-101 et seq. All statements shall be available in electronic form for examination and duplication by the Board of Ethics upon request.

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(b) ~~1~~—The Board of Review and the Cook County Assessor shall post on their respective internet websites information pertaining to appeals filed with each office. The information posted will consist of the name of the applicant, the disposition of the appeal, the property index number, the appeal number, the Attorney or other representative of record and the basis for the disposition. The website shall allow for users to search by address, pin number, appeal number or name of the Attorney or other representative of record.

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The Board of Review and the Cook County Assessor shall post the required appeal information for a total of four assessment years on their respective websites.

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(Ord. No. 04-O-18, art. III, 5-18-2004; Ord. No. 07-O-48, 7-10-2007.)

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~~Sec. 2-590. - Inducement of Others.~~

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~~(a) No Person shall induce or attempt to induce another Person to violate the provisions of this Division.~~

~~(b) No Person shall aid or abet another Person in violating the provisions of this Division.~~

~~-Reserved.~~

~~Subdivision IV. - Board of Ethics~~

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~~Sec. 2-591. - Composition and powers.~~

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The organization and administration of the Cook County Board of Ethics shall be sufficiently independent to assure that no interference or influence external to the office adversely affects the independence and objectivity of the Board of Ethics. The composition and powers of the Board of Ethics are as follows:

~~(a) 1~~—The Board of Ethics shall be composed of five members appointed by the President of the County Board with the advice and consent of the County Board and will take into account the diversity of communities and conditions protected by this article. The Board of Ethics shall have an executive director who shall be appointed by the President.

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~~(b) 2~~—Each member of the Board shall:

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~~(1) a.~~—Reside within the corporate boundaries of the County;

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~~(2) b.~~—Not be an employee of the County or any agency thereof;

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~~(3) c.~~—Not hold elected public or political party office within the County;

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~~(4) d.~~—Have no individual financial interest in any work or business of or official action by the County;

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~~(5) e.~~—Not take an active part in managing the political campaign of a candidate for County office;

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~~(6) f.~~—Not be convicted of any felony or any crime involving moral turpitude;

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~~(7) g.~~ Not be engaged in activities that require registration under the Cook County Lobbyist Registration Ordinance; and

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~~(8) h.~~ Not be a ~~Relative of~~relative as defined in Section 2-562 to any elected official of the County.

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~~(c) 3.~~ The members of the Board shall be appointed for terms of four years and hold office until their successors have been appointed. The initial appointment of the members shall be as follows: One member for four years; two members for three years; and two members for two years.

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~~(d) 4.~~ Any member of the Board may be removed by the President, with the advice and consent of the Board of Commissioners, for incompetence, substantial neglect of duty, gross misconduct, malfeasance in office, or violation of any law, after written notice, stating the grounds for removal.

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~~(e) 5.~~ Board members shall receive no compensation for their services.

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~~(f) 6.~~ The Board shall be responsible for initiating, receiving, and investigating violations of this article. The Board's authority to investigate an alleged violation of this article is limited to violations which occurred not more than two years prior to the date upon which a complaint is received or discovery of the fact that an alleged violation has occurred.

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~~(g) 7.~~ The Board shall have the authority to issue ~~subpoenasa subpoena~~ subpoena for the appearance of witnesses ~~and~~ the production of evidence, ~~or both~~, in the course of investigations and hearings. ~~All subpoenas~~A subpoena shall be served in the same manner as subpoenas issued under the rules of the Illinois Supreme Court and shall be subject to the same witness and mileage fees fixed by law for such subpoenas. The Board shall adopt rules as necessary to implement this process.

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~~(h) 8.~~ The Board may delegate to the Board staff, as the Board considers necessary, any matter properly before the Board. The Board shall adopt rules which specify those duties and responsibilities which may be delegated to Board staff, and those duties and responsibilities which shall remain with the members of the Board. ~~County agencies, officials, board or commission appointees and employees shall cooperate with the Board or its delegate. Information necessary to any investigation shall be made available upon written request.~~

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~~(i) 9.~~ If necessary, the Board shall conduct hearings to determine contested facts relevant to the Board's determination of whether an investigation has found substantial evidence that a violation of the Ordinance has occurred. The Board may delegate this power to a duly licensed attorney of its choosing, provided that any recommended conclusions of fact are subject to review, rejection, ~~or and~~ modification by the Board before those recommendations are adopted.

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~~(i) 10.~~ Upon determination by a majority of the Board that there is reason to believe that a violation of this article has occurred, the Board may:

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~~(1) a.~~ Notify the person who may have violated this article and request corrective action;

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~~(2) b.~~ Impose sanctions for violations as set out in Section 2-602 and sue in its own name to enforce its determinations;

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~~(3) c.~~ Recommend to the President or the appropriate elected official that disciplinary or other action within the elected official's authority should be taken in relation to the potential violation; and

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~~(4) d.~~ Recommend to the President or the appropriate elected official such other remedies as shall be appropriate.

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All recommendations shall be in writing and shall be set forth with specificity including a statement of reasons in support. An elected official to whom a recommendation has been sent shall, within 30 days of receipt of the recommendation, report to the Board in writing the actions taken on the recommendation and, to the extent that any recommended action is declined or different action is taken, provide a statement of reasons for that decision.

Any person who has received an adverse determination by the Board of Ethics can seek administrative review within 30 days of the date of the Board's final determination via a writ of certiorari to the Circuit Court of Cook County.

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~~(k) Unless precluded by operation of law or rules of professional conduct, the Board shall be represented in all civil litigation and administrative proceedings by the Cook County State's Attorney.~~

~~(1) In the event that the Cook County State's Attorney is precluded from representing the Board in any civil litigation or administrative proceeding, the Board may engage a duly licensed attorney of its choosing;~~

~~(2) No attorney representing the Board in any civil or administrative proceeding may resolve litigation on behalf of the Board of Ethics without the Board's consent.~~

~~(l) (14)~~ The Board may also advise, by means of written advisory opinions, and may consult with the County Board of Commissioners, President, County agencies, officials and employees on matters involving this article.

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~~(m) (12)~~ The Board may also from time to time recommend to the President or the Board of Commissioners such legislative action as it deems appropriate to effectuate the policy of this article.

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~~(n) (13)~~ The Board may adopt appropriate rules, definitions and regulations for the conduct of Board activities and duties as set forth in this article.

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~~(o) (14)~~ The Board shall prepare and publish an annual report summarizing the Board's activities and present the report to the President and the Board of Commissioners.

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~~(p) (15)~~ The Board shall preserve all pertinent records and reports for a period of not less than ten years.

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(Ord. No. 93-O-29, § 4.1, 8-3-1993; Ord. No. 99-O-18, § 4.1, 6-22-1999; Ord. No. 04-O-18, § 4.1, 5-18-2004; Ord. No. 06-O-18, 5-3-2006; Ord. No. 12-O-68, 11-14-2012; Ord. No. 15-3085, 5-20-2015; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-592. - Confidentiality.

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Investigations and consideration by the Board of Ethics of potential violations of this article shall be confidential, except as necessary to carry out powers and duties of the Board or to enable another person or agency to consider and act upon the notices and recommendations of the Board. The final determinations of the Board shall be made available to the public with such deletions as may be necessary to prevent disclosure of any information the Board determines to be confidential, in accordance with the Illinois Freedom of Information Act, as amended. The Board may require all persons who may be privy to confidential information regarding any aspect of its investigation sign an acknowledgement regarding the confidentiality of the information as provided in this Section.

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(Ord. No. 93-O-29, § 4.2, 8-3-1993; Ord. No. 99-O-18, § 4.2, 6-22-1999; Ord. No. 04-O-18, § 4.2, 5-18-2004.)

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Secs. 2-593—2-600. - Reserved.

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Subdivision V. - Sanctions for Violation

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Sec. 2-601. - Employment sanctions.

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Any employee or official found to have violated any provision of this article, to have knowingly furnished false or misleading information in any investigation, hearing or inquiry held pursuant to this article, or to have failed to cooperate with an investigation under this article shall be subject to employment sanctions, including discharge by the employing official. The provisions of this article shall not limit the power of officials to otherwise discipline employees.

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(Ord. No. 93-O-29, § 5.1, 8-3-1993; Ord. No. 99-O-18, § 5.1, 6-22-1999; Ord. No. 04-O-18, § 5.1, 5-18-2004; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-602. - Fines and Penalties.

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(a) ~~The~~ As authorized by the State Officials and Employees Ethics Act, the Board shall may impose a fine of at least \$1,001 and up to \$105,000.00 per violation against any Personperson found by the Board to have violated, intentionally obstructed or interfered with an investigation of, or intentionally made a false, frivolous or bad faith allegation under any Section contained within Division 2 of this Ordinance.-574 or 2-583.

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(1) The Board may impose a fine of less than \$1,001 when the Person determined to have violated a provision of Division 2 of this Ordinance is an Employee and



demonstrates that such fine is unreasonable under the circumstances or would result in an unjust financial hardship to that Person.

(b) In addition to the penalties provided for in Subsection (a) of this Section:

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(1) any Person who is found by the Board to have knowingly or willfully filed a false, misleading, or incomplete disclosure shall be prohibited for a period of three years from the date of such finding from engaging, directly or indirectly, in any business with the County.

(2) any Person found by the Board to have violated any item of this Division 2 may be prohibited from engaging, directly or indirectly, in any business with the County for a period of up to three years from the date of such finding.

(c) As authorized by the State Officials and Employees Ethics Act, any penalty imposed for violation of Section 2-575 shall be separate and distinct from any fines or penalties imposed by a court of law or a State or federal agency.

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(d) As authorized by the State Officials and Employees Ethics Act, a Person~~person~~ who intentionally violates any provision of Section 2-574 (Gift Ban) or 2-584 (Whistleblower Protection) is guilty of a business offense and subject upon conviction to a fine of at least \$1,001.00 and up to \$5,000, which is separate and distinct from any fine imposed under Subsection (a) of this section.00.

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(e) ~~e~~ As authorized by the State Officials and Employees Ethics Act, a Person~~person~~ who intentionally violates any provision of Section 2-583 (Prohibited Political Activity) is guilty of a Class A misdemeanor, which is separate and distinct from any penalty imposed under Subsection (a) of this section.

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(d) The Board may impose a fine of up to \$1,000.00 per offense on any person, including officials or candidates, found by the Board to have knowingly violated any provision of this article other than Section 2-574 or 2-583, to have knowingly furnished false or misleading information to the Board or to have failed to cooperate with an investigation under this article.

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(Ord. No. 93-O-29, § 5.3, 8-3-1993; Ord. No. 99-O-18, § 5.3, 6-22-1999; Ord. No. 99-O-22, § 2(5.2), 7-8-1999; Ord. No. 04-O-18, § 5.2, 5-18-2004; Ord. No. 10-O-57, 9-15-2010; Ord. No. 15-3085, 5-20-2015; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-603. - Validity of contract.

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Any contract negotiated, entered into, or performed in violation of any of the provisions of this article shall be voidable by the County.

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(Ord. No. 93-O-29, § 5.3, 8-3-1993; Ord. No. 99-O-18, § 5.3, 6-22-1999; Ord. No. 04-O-18, § 5.2, 5-18-2004.)

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Secs. 2-604—2-609. - Reserved.

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Subdivision VI. - Requirement for Disclosure of Ownership Interest in Business Entities Seeking County Contracts

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Sec. 2-610. - Actions requiring county approval; disclosure information required when.

(a) —Whenever any corporation; partnership; association; business trust; estate; two or more persons having a joint or common interest; other commercial or legal entity; trustee of a land trust; or any beneficiary or beneficiaries thereof makes application to the County of Cook for action requiring an ordinance, or ordinance amendment, county board approval, or other county agency approval, with respect to contracts, leases, or sale or purchase of real estate, the following disclosures and information shall be certified and attached to the application or document:

(1) —The name, address and percentage of ownership interest of each individual or entity having a legal or a beneficial interest of more than five percent in the applicant. Any entity required by law to file a statement providing substantially the information required by this paragraph with any other government agency may file a duplicate of such statement;

(2) —Whenever any interest required to be disclosed in paragraph (1) is held by an agent or agents, or a nominee or nominees, the principals for whom such agents or nominees hold such interest shall also be disclosed. The application of a spouse, domestic partner, or civil union partner or any other party, if constructively controlled by another person, or legal entity as set forth above, shall state the name and address and percentage of beneficial interest of such person or entity possessing such constructive control and the relationship under which such control is being or may be exercised. Whenever a stock or beneficial interest is held by a corporation or other legal entity, such shareholder or beneficiary shall also make disclosure as required by paragraph (1) above;

(3) —A statement under oath that the applicant has withheld no disclosures as to economic interests in the undertaking nor reserved any information, data or plan as to the intended use or purpose for which it seeks county board or other county agency action.

(Ord. No. 08-O-49, 9-3-2008; Ord. No. 16-5326, 10-5-2016.)

Sec. 2-611. - Information to be kept current.

All disclosures and information shall be current as of the date upon which the application is presented to the county board or other county agency, and shall be maintained current until such time as the county board or other county agency shall take action on the application. Furthermore, this information shall be maintained in a database and made available for public viewing.

(Ord. No. 08-O-49, 9-3-2008.)

Sec. 2-612. - Additional information authorized when.

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Notwithstanding any of the above provisions, the Chief Procurement Officer with respect to contracts awarded by the Chief Procurement Officer or County, may require any such additional information from any vendor or proseed vendor which is reasonably intended to achieve full disclosure relevant to the request for action by the County Board of Commissioners or any other County agency in respect to contracts.

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(Ord. No. 08-O-49, 9-3-2008; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-613. - Failure to comply.

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Any failure to comply with the provisions of this division shall render any ordinance, ordinance amendment, county board approval or other county action in behalf of the applicant, person, business, vendor failing to comply, voidable at the option of the county board or other county agency involved, upon the recommendation of the President or the majority of the County Board of Commissioners.

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(Ord. No. 08-O-49, 9-3-2008; Ord. No. 16-5326, 10-5-2016.)

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Sec. 2-614. - Rulemaking authority.

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The County Purchasing Agent is authorized to promulgate rules and regulations and prepare forms to effectuate the purposes of this division.

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(Ord. No. 08-O-49, 9-3-2008.)

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Secs. 2-615—2-620. - Reserved.

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DIVISION 3. - LOBBYISTS

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Subdivision I. - In General

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Sec. 2-621. - Short title.

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This division shall be known and may be cited as the Cook County Lobbyist Registration Ordinance.

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Sec. 2-622. - Definitions.

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The following words, terms and phrases, when used in this division shall have the meanings ascribed to them in this Section, except where the context clearly indicates a different meaning:

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*Board* means the County Board and any and all of its standing or special committees or subcommittees.

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*Clerk* means the duly elected or appointed Clerk of the County.

*Commissioner* means any of the duly elected or appointed Board members.

*Compensation* means money, thing of value or other pecuniary benefits received or to be received in return for, or as reimbursement for, or as a result of, services rendered or to be

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rendered, for Lobbying. This includes a contract, promise or agreement, whether or not legally enforceable, to provide or arrange for Compensation for services rendered or to be rendered.

*County Agency* means any board, commission, department or authority under the jurisdiction of the President or Board or any other County Official.

*County Appointee* means an individual appointed by the President to:

- (a) Any board or Commission created under County Ordinance or Illinois State Statute; or
- (b) Any units of local government (as defined in Article VII, Section 1 of the Constitution of the State of Illinois) created by Illinois state or County ordinance.

*County Employee* means an individual employed by the County whether part-time or full-time.

*County Matter or County Matters* means:

- 1. The approval, drafting, development, consideration, amendment, modification, review, introduction, proposal, postponement, adoption, approval, passage, enactment, promulgation, execution, issuance, defeat, rejection, or veto of any rule, resolution, regulation, standard, fee, rate, contractual agreement, purchasing agreement, order, decision, determination, proceeding or motion, or a portion thereof, by a County Official, County Appointee or County Employee;
- 2. The action of any County Official, County Appointee or County Employee in the development of a proposal for introduction before the Board.

*County Official* means the Assessor, members of the Board of Review, Clerk of the Circuit Court, Clerk, Commissioners, President, Recorder of Deeds, Sheriff, State's Attorney, and Treasurer of the County, and any County Agency or member thereof.

*Expenditure* means anything having a value of \$10.00 or more including, but not limited to, a payment, distribution, loan, advance, deposit, Political Contributions, honoraria, travel or entertainment expense, meal or beverage expense, or gift of money. This includes a contract, promise, or agreement, whether or not legally enforceable, to make an Expenditure for services rendered or to be rendered.

*File, Filed, or Filing* means submitting an electronic report via the Clerk's website. Online submissions received by 11:59[p.m.] on the prescribed filing date will be considered on time.

*Gift* means anything having a value of \$10.00 or more given without consideration or expectation of return.

*Lobby or Lobbying* means to, for Compensation and on behalf of another Person, attempt to influence a County Official, County Appointee or County Employee with respect to any County matter, including, but not limited to:

- (1) A bond inducement ordinance;
- (2) A zoning matter;
- (3) A concession agreement;

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- (4) The creation of a tax increment financing district;
- (5) The establishment of a Class 6(b), Class 7(a), Class 7(b), Class 9, Class C, Class L, Class S and/or any Cook County property tax classification established under Section 74-63 of the Cook County Code;
- (6) The introduction, passage or other action to be taken on an ordinance, resolution, motion, order, appointment or other matter before the Board;
- (7) The preparation of contract specifications;
- (8) The solicitation, award or administration of a contract;
- (9) The award or administration of a grant, loan, or other agreement involving the disbursement of public monies; or
- (10) Any other determination made by a County Official, Appointee or Employee with respect to the procurement of goods, services or construction.

Provided, however, that solely submitting an application for a county permit or license or responding to a county request for proposals or qualifications is not an attempt to influence a County Official, Appointee or Employee with respect to a County Matter.

Lobbyist means any Person who engages in Lobbying as defined in Section 2-622.

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~~Provided, however, that a Person shall not be deemed to have undertaken to influence any County Matter solely by submitting an application for a county permit or license or by responding to a county request for proposals or qualifications.~~

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Lobbying Activity Report means a log, set forth in Section 2-634, maintained by each Lobbyist and submitted to the Clerk that records all Lobbying contacts by a Lobbyist with any County Official or County Employee.

Lobbying Expenditure Report means a log, set forth in Section 2-634, maintained by each Lobbyist and submitted to the Clerk that records all Expenditures made by the Person to or for the benefit of a County Official or County Employee, notwithstanding whether Lobbying was occurring at the time of the Expenditure, during the previous six months.

Occasional Sales-Related Inquiries or Solicitations means any contact by a Person with a County Employee and/or County Official for no more than five times in a year to discuss the solicitation, award, administration, technical requirements or clarification of a potential contract. The term "Occasional Sales-Related Inquiries or Solicitations" shall only apply to any activity by a Person who has not retained a Lobbyist to influence any County Matter.

Person means any individual, entity, corporation, partnership, firm, association, union, trust, estate, as well as any parent or subsidiary of any of the foregoing, and whether or not operated for profit.

Political Contribution means any money or thing of value given to a political committee, as defined in 10 ILCS 5/9-1.9 (political committee defined), in the County.

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(Ord. No. 93-O-22, § A(2), 6-22-1993; Ord. No. 09-O-73, 10-6-2009; Ord. No. 10-O-52, 9-15-2010; Ord. No. 10-O-53, 9-15-2010; Ord. No. 14-3306, 11-19-2014.)

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Secs. 2-623—2-630. - Reserved.

Subdivision II. - Registration

Sec. 2-631. - Persons required to register.

The following persons shall register with the Clerk as provided in this division:

- (1) Any person who lobbies.
- (2) Any person whose duties as an employee of another person include lobbying.
- (3) Any person who employs or contracts with another person for the purpose of lobbying, unless the existence of the employer or contractor and all expenditures made by the employer or contractor are fully disclosed by a lobbyist required to register under Subsection (1) or (2) of this Section.

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(Ord. No. 93-O-22, § A(3), 6-22-1993.)

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Sec. 2-632. - Persons not required to register.

This division is not intended and shall not be construed to apply to the following:

- (1) Persons who own, publish, or are employed by a newspaper or other regularly published periodical, or who own or are employed by a radio station, television station, or other bona fide news medium which in the ordinary course of business disseminates news, editorial or other comment, or paid advertisements for the purpose of influencing any County Matter. This exemption shall not be applicable to such an individual insofar as they receive additional Compensation or expenses from some source other than the bona fide news medium for the purpose of influencing any County Matter. This exemption does not apply to newspapers and periodicals owned by or published by trade associations and for profit corporations engaged primarily in endeavors other than the dissemination of news.
- (2) Persons providing professional services in the drafting of ordinances, resolutions or bills or in advising and rendering opinions to clients as to the construction and effect of proposed or pending legislation, ordinances or resolutions where such professional services are not otherwise, directly or indirectly, connected with a County Matter.
- (3) Elected officials and employees of other units of government acting in their official capacity.
- (4) Persons who, by reason of their special skills or knowledge of any County Matter pending before the Board, are requested in writing by a Commissioner or the President to discuss such County Matter before the Board, regardless of whether or not such Persons receive Compensation for so appearing. This exemption shall only be applicable to the extent that such Persons appear in the foregoing capacity. To the extent that such Persons also engage in activities with respect to which this division otherwise requires them to register, they shall so register with respect to those activities.

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(5) Any full-time employee of a bona fide church or religious organization who represents that organization solely for the purpose of protecting the right of the members thereof to practice the religious doctrines of such church or religious organization.

(6) ~~Persons seeking to do business with Cook County whose contacts with County Officials, County Appointees and/or County Employees are limited to Occasional Sales-Related Inquiries or Solicitations, the submission of bids, or responses to requests for proposals or requests for qualifications, and who make no Expenditures which would otherwise be reportable under Section 2-634.~~

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(7) ~~Persons representing clients before County agencies which conduct adversarial, assessment or quasi-judicial hearings and the decisions of which may ultimately be appealed to the Circuit Court of Cook County or the Board, representing clients in court proceedings and in anticipation of court proceedings, and representing clients at internal disciplinary hearings. This exemption shall only be applicable to the extent that such Persons appear in the foregoing capacity. To the extent that such Persons also engage in activities with respect to which this division otherwise requires them to register, they shall so register with respect to those activities.~~

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(8) ~~Persons who are negotiating the terms of a collective bargaining agreement or other contract. This exemption shall only be applicable to the extent of such activity. To the extent that such Persons also engage in activities with respect to which this division otherwise requires them to register, they shall so register with respect to those activities.~~

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(9) ~~Persons, including employees, officers, or directors Lobbying on behalf of a not-for-profit neighborhood, community or civic organization who receive no Compensation for Lobbying and who make no Expenditures to or for the benefit of a County Official or County Employee in connection with such Lobbying. This exemption shall only be applicable to the extent that such Persons appear in the foregoing capacity. To the extent that such Persons also engage in activities with respect to which this division otherwise requires them to register, they shall so register with respect to those activities.~~

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(10) ~~County Officials, County Appointees and County Employees acting in their official capacity.~~

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(11) Persons providing recommendations for other Persons seeking employment with the County. This exemption shall only be applicable to the extent that such Persons are involved in such activities. To the extent that such Persons also engage in activities with respect to which this division otherwise requires them to register, they shall so register with respect to those activities.

(12) Persons who are owners, directors, officers or full-time employees of a business, which Person's Lobbying activities are limited to fewer than two County Matters per calendar year which would otherwise require registration under Section 2-631 and who make no Expenditures which would otherwise be reportable under Section 2-634.

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(Ord. No. 93-O-22, § A(4), 6-22-1993; Ord. No. 14-3306, 11-19-2014.)

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Sec. 2-633. - Information required of registrants.

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(a) Within 30 days of engaging in any activity, which requires such Person to register, and subsequently between January 1 and January 20 of each year, every Person required to register under Section 2-631 shall File in the office of the Clerk a written statement, subscribed under oath, containing the following information:

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- (1) The registrant's name, permanent address and temporary address (if any) while Lobbying.
  - (2) The registrant's business affiliation and business address, or, if none, the statement that the registrant is a sole proprietor.
  - (3) With respect to each Person on behalf of which the registrant acts as a Lobbyist:
    - a. The name, business address, permanent address and nature of the business of the Person;
    - b. Whether the relationship is expected to involve Compensation or Expenditures or both; and
    - c. A brief description of the County Matter in reference to which such service is to be rendered.
  - (4) The name, business address, and permanent address of each Person employed by the registrant to perform such Lobbying services or who appears on behalf of the registrant.
  - (5) A picture of the registrant.
  - (6) Registrants shall pay an annual, nonrefundable, nontransferable filing fee as set out in Section 32-1, per entity and a separate fee per exclusive Lobbyist, payable to the Clerk upon Filing.
- (b) The separate fee, per exclusive Lobbyist, as set forth in subsection (a)(6) of this Section, shall be waived for employees of nonprofit organizations that are exempt from income taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, provided such organizations Lobby only with their own employees and the employees who Lobby work a minimum of 1,000 hours per year for the organization.
- (c) In the event any substantial change or addition occurs with respect to the information required by this division to be contained in the registration statement, including the addition or subtraction of a client, an amendment to the statement shall be Filed with the Clerk within 14 days.
- (d) All reports Filed pursuant to this Section and Section 2-634 shall be Filed electronically and under oath, according to rules and regulations to be established by the Clerk, as of the date such rules and regulations are promulgated. Reports Filed electronically shall be timely if Filed by 11:59 p.m. on the established due date. The Clerk shall post the Filed reports on the Clerk's website within three business days from the established due date.

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(e) Within 48 hours of being retained, hired or employed by any Person to Lobby on that Person's behalf, a registrant shall amend the report Filed pursuant to this Section to include the information required under [subsection] (a)(3) of this Section, as to the Person newly retaining the registrant. The Clerk shall post the Filed amended reports on the Clerk's website within three business days from the established due date.

(Ord. No. 93-O-22, § A(5), 6-22-1993; Ord. No. 08-O-09, 1-9-2008; Ord. No. 09-O-21, 3-17-2009; Ord. No. 09-O-73, 10-6-2009; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-634. - Reports.

(a) Every Person so registering shall, so long as the Person's activity continues, File with the Clerk between January 1 and January 20 and between July 1 and July 20, two reports under oath: a Lobbying Expenditure Report and a Lobbying Activity Report.

(1) The Lobbying Expenditure Report shall state all Expenditures made by the Person to or for the benefit of a County Officials or County Employee, notwithstanding whether Lobbying was occurring at the time of the Expenditure, during the previous six months. The report shall show in detail the County Officials or County Employee to whom or for whose benefit such Expenditure were made. Expenditures made by the registrant shall include Expenditures made by the registrant's employer and/or contractor for whom the registrant is performing Lobbying services unless the employer or contractor independently registers and reports pursuant to Section 2-631(3). If the registrant Lobbies for more than one Person, they shall identify which Expenditures were made on behalf of each such Person. Any Expenditure over \$100.00 shall disclose not only the amount of the Expenditure and to whom or for whose benefit such Expenditure was made, but also disclose the date of the Expenditure, the use and purpose for which the Expenditure was made, and the County Matter in connection with which the Expenditure was made. If the registrant made no such Expenditures during the reporting periods herein described, the registrant shall File and state herein that the ~~registrant had no such Expenditures.~~

(2) The Lobbying Activity Report shall include all Lobbying contacts made with County Officials, County Appointees or County Employees. For each such contact, the report shall list the date of the contact, the County Official, County Appointees or County Employee with whom the Lobbying contact was made, the entity on whose behalf the Lobbying contact was made, the location of the Lobbying contact, the subject matter of the Lobbying contact, including any County contact, involved in the contact. If the Lobbyist has a relationship by birth or marriage with the County Official, County Appointee or County Employee Lobbied, such relationship shall be stated. If the registrant made no such Lobbying contacts during the reporting periods herein described, the registrant shall File and state herein that the registrant had no such contacts.

(b) Individual Expenditures which aggregate \$100.00 or less otherwise required to be reported under [subsection] (a)(1) of this Section may be reported in aggregate amounts without detail, provided that any Gift solicited by a County Official, County Appointee or

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County Employee must be reported in detail as set forth above, additionally listing the recipient of such Gift.

- (c) Reports required under [subsection] (a)(1) of this Section shall include for each client the following aggregate Expenditures attributable to Lobbying activities, to be identified as such: advertising and publications; lodging and travel that are not reported by another registrant; educational or advocacy expenses; honoraria; meals, beverages, and entertainment expenses; Political Contributions; and Gift.
- (d) With respect to each client of the registrant, the registrant shall report the following in the Lobbying Expenditure Report:
  - (1) The name, business and permanent address and nature of business of the client and any other business entities on whose behalf Lobbying was performed for the same Compensation.
  - (2) A statement of the amount of Compensation.
  - (3) The name of each Person Lobbied and a brief description of the County Matter involved.
- (e) A registrant who terminates employment or duties which required registration under this division shall give the Clerk, within 30 days after the date of such termination, written notice of such termination and shall include therewith a Lobbying Activity Report and a Lobbying Expenditure Report described herein, covering the period of time since the Filing of the registrant's last report to the date of termination of employment, and a report of the Lobbying activity described herein, covering the period of time since the Filing of the registrant's last report to the date of termination of employment. The Clerk shall post the Filed reports on the Clerk's website within three business days from the established due date. Such notices and reports shall be final and relieve such registrant of further reporting under this division unless and until the Person later takes employment or assumes duties that require to again register under this division.
- (f) Failure without just cause to File any such report within the time designated herein or the knowing reporting of false or incomplete information shall constitute a violation of this division. In addition to other penalties provided in this division, any Person Filing a late report under this Section shall be assessed a late filing fee as set out in Section 32-1 per day the report is late, payable to the Clerk upon Filing. Any registrant who is required to File a report hereunder may effect one 30-day extension of time for Filing the report by Filing with the Clerk, not less than ten days before the date on which the statement is due, a declaration of intention to defer the Filing of the report. The Filing of such declaration shall suspend application of the penalty provisions contained herein for the duration of the extension. Failure to File by the extended date shall constitute a violation of this division and shall subject the registrant to the penalty described herein.

(Ord. No. 93-O-22, § A(6), 6-22-1993; Ord. No. 09-O-73, 10-6-2009; Ord. No. 14-3306, 11-19-2014.)

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Sec. 2-635. - Duties of clerk.

- (a) It shall be the duty of the Clerk to provide appropriate forms for the registration and reporting of information required by this division and to keep such registrations and reports on file in the Clerk's office for ten years from the date of Filing. The Clerk shall also maintain a listing of registered Lobbyists. Such records and listing shall be considered public information and open to public inspection during normal business hours.
- (b) The Clerk shall administer this division. The Clerk shall review all forms that are Filed for completeness and potential violations including late Filed reports. The Clerk shall report all violations and suspected violations of this division to the Cook County Board of Ethics and any other appropriate law enforcement agency.

(Ord. No. 93-O-22, § A(7), 6-22-1993; Ord. No. 06-O-19, 5-3-2006; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-636. - Restricted activities.

- (a) No Person shall retain or employ another to Lobby for Compensation contingent in whole or in part upon the result obtained or the final disposition of any matter, and no Person shall accept any such employment or render any such service for contingent Compensation.
- (b) No County Official, County Appointee or County Employee may solicit any personal Gift from a registrant. Personal Gifts do not include contributions required to be reported under Article 9 of the Election Code or under Federal election law, or contributions solicited for bona fide humanitarian, civic, charitable or religious organizations, events or efforts.
- (c) No registrant shall knowingly make a false statement in any report required to be Filed under this division.
- (d) No County Official or County Employee shall receive Compensation for Lobbying County government other than from the County.
- (e) No registered Lobbyist retained on behalf of a client seeking action on a County Matter shall attempt to communicate with a member of the Cook County Board of Commissioners for purposes of discussing a County Matter in the Cook County Board Room, commonly known as Room 569 of the County Building, while said member is present on the floor of the Cook County Board Room and during such time as an active session of the Cook County Board of Commissioners, or any committee thereof, is convened and in progress.

(Ord. No. 93-O-22, § A(8), 6-22-1993; Ord. No. 14-5299, 10-8-2014; Ord. No. 14-3306, 11-19-2014.)

Sec. 2-637. - Enforcement, penalties and procedures.

- (a) Any Person Filing a late registration or report after January 31 (in the case of reports due after by January 20) or after July 31 (in the case of reports due by July 20) shall be assessed

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a fine of \$100.00 per day late. The Clerk shall collect the fine from any Person Filing a late registration or report.

(b)

(1) In addition to the penalties provided for in Subsection (a) of this Section, any Person violating any subsection of this division other than Section 2-637(a) shall be fined not less than \$1,001 and not more than \$10,000 per occurrence, by the Board of Ethics and shall be prohibited for a period of three years from engaging, directly or indirectly, in any Lobbying activities.

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(2) The Board may impose a fine of less than \$1,001 when the Person determined to be subject to a penalty under Subsection (b)(1) of this Section demonstrates that such fine is unreasonable under the circumstances or would result in an unjust financial hardship to that Person.

(c) The determination as to the imposition of penalties under this Section shall be made pursuant to Chapter 2, Article IX, Administrative Hearings. The Executive Director of the Board of Ethics shall have the authority to issue notices of violation to enforce provisions of this division, as applicable, and institute enforcement proceedings under Chapter 2, Article IX. Persons Filing a late report or registration may contest that violation pursuant to this Section. The Cook County Clerk shall publicly display notice of any violations of this Section.

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(Ord. No. 93-O-22, § A(9), 6-22-1993; Ord. No. 14-3306, 11-19-2014.)

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Sec. 2-638. - Right to petition County Officials.

Nothing in this Division shall be construed to infringe in any way the right to lawfully petition a Commissioner or any other County Official as guaranteed by the constitutions of the United States and the State.

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(Ord. No. 93-O-22, § A(10), 6-22-1993; Ord. No. 14-3306, 11-19-2014; Ord. No. 17-1165, 3-8-2017.)

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Sec. 2-639. - Venue.

Any violation of this division may be prosecuted in the Cook County Department of Administrative Hearings pursuant to Chapter 2, Article IX, of the Cook County Code, by the State's Attorney of Cook County.

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(Ord. No. 93-O-22, § A(11), 6-22-1993; Ord. No. 14-3306, 11-19-2014.)

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Sec. 2-640. - Lobbyist Ethics Education Seminar.

Each Lobbyist shall attend an online ethics education seminar offered on a regular basis by the Cook County Clerk and the Board of Ethics within 120 days of registering as a Lobbyist pursuant to Section 2-633. The seminar shall educate individuals regarding their duties and responsibilities under this article. The seminar shall also include a program of sexual harassment training in the context of lobbying activities and review pertinent provisions under Chapter 42, Article II of the Cook County Code, the Cook County Human Rights Ordinance. The

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Clerk may partner with the Cook County Department of Human Rights and Ethics and/or other applicable Department of Cook County to facilitate the provision of the sexual harassment training. For purposes of this section, the definition of "sexual harassment" includes, but is not limited to, the definitions set forth in Section 44-53 of the Cook County Code of Ordinances.

(Ord. No. 14-3306, 11-19-2014; Ord. No. 17-6302, 12-13-2017.)

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Sec. 2-641. - Prohibition from lobbying activities.

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The President of the Cook County Board, the Assessor, the Clerk of the Circuit Court, the County Clerk, the Board of Review, the Recorder of Deeds, the Sheriff, the State's Attorney, the Public Defender, the Treasurer and any Cook County Commissioner are hereby prohibited, while serving in such elected or appointed position, from Lobbying (as defined under Chapter 2, Section 2-622 of Article I of the County Code).

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(Ord. No. 10-O-52, 9-15-2010; Ord. No. 14-3306, 11-19-2014.)

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Sec. 2-642. - Duty to display lobbyist registration identification.

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All registered Lobbyists shall display Lobbyist registration identification while engaging in all Lobbyist activities on County premises. The Cook County Clerk shall issue the Lobbyist registration identification to Lobbyists.

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(Ord. No. 14-3306, 11-19-2014.)

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Secs. 2-646—2-670. - Reserved.

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